

---

# **Lincoln Laboratory Dual Pol Algorithm Development Program**

**David J. Smalley**

**NEXRAD TAC**

**March 9, 2011**





# FAA Weather Systems Benefit from Improved NEXRAD Algorithms



Integrated Terminal Weather System



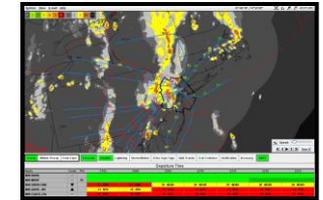
Weather And Radar Processor



Corridor Integrated Weather System



Consolidated Storm Prediction for Aviation



Route Availability Planning Tool

Functionality Gap	Algorithm	First Deployed
Legacy Algorithm Performance/Resolution	Precipitation Coverage - HRVIL	2002
	Echo Tops - HREET	2003
Data Quality	Data Quality Improvements - DQA	2003
Wind Shear Product	Gust Front Detection - MIGFA	2007
	Microburst Detection - AMDA	In development
Hydrometeor Identification	Icing Hazards - IHL	In development
	Hail Hazards - HHL	In development



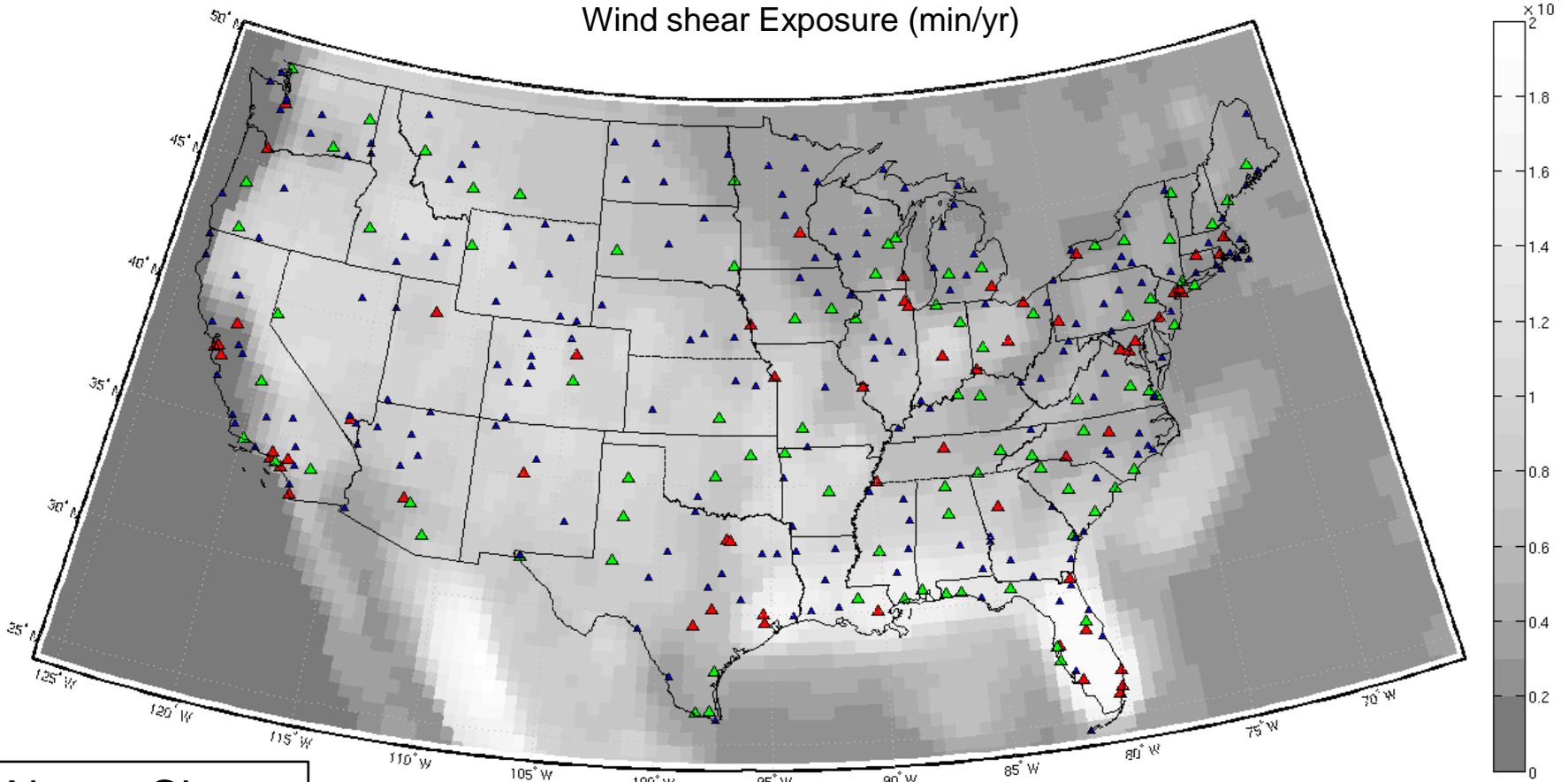
# Outline

- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**



# Airport Wind Shear Exposure

Wind shear Exposure (min/yr)



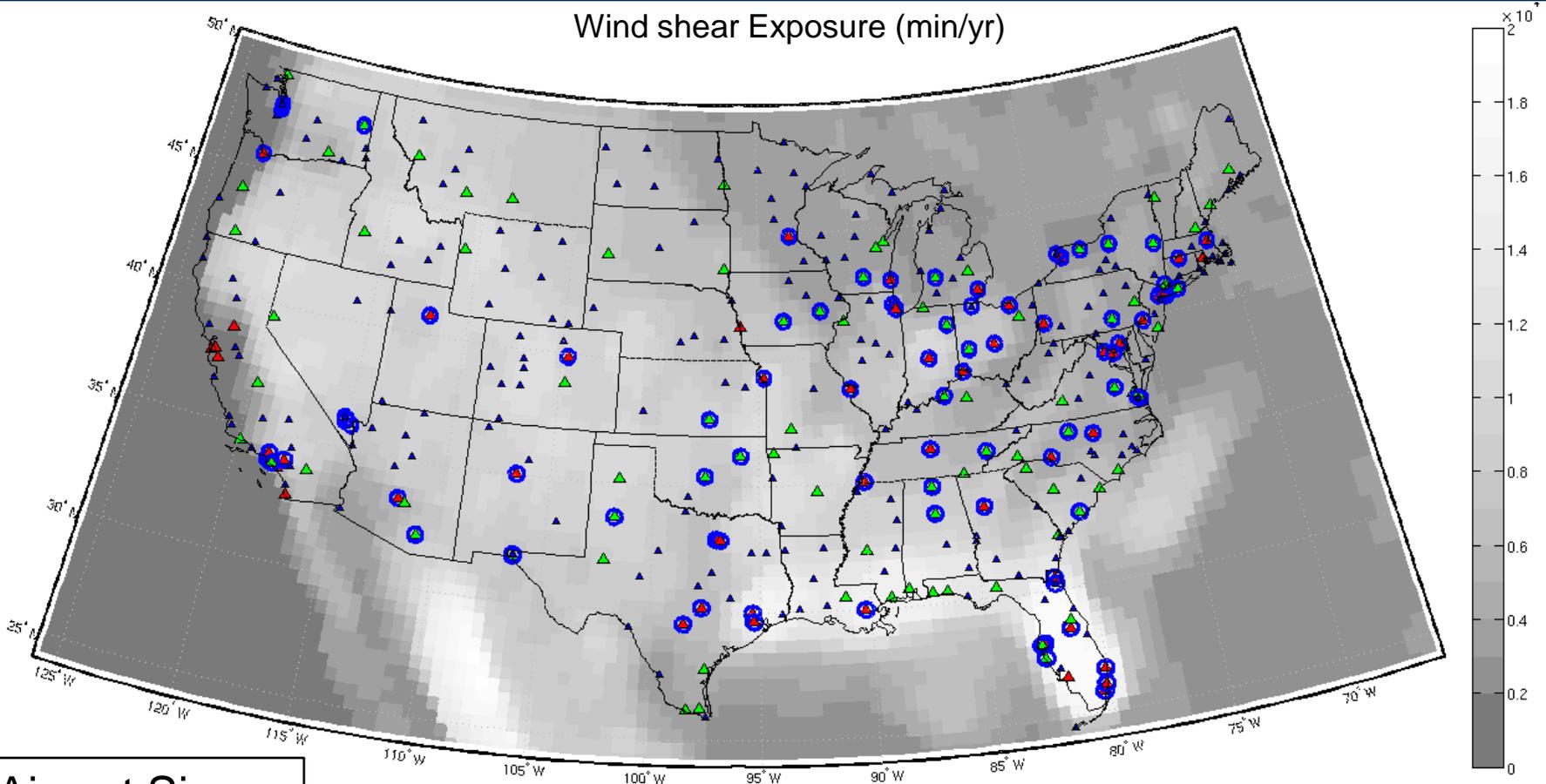
## Airport Size

- ▲ Large
- ▲ Medium
- ▲ Small



# Current Airport Wind Shear Coverage

Wind shear Exposure (min/yr)



## Airport Size

- ▲ Large
- ▲ Medium
- ▲ Small

## Wide-area wind shear coverage

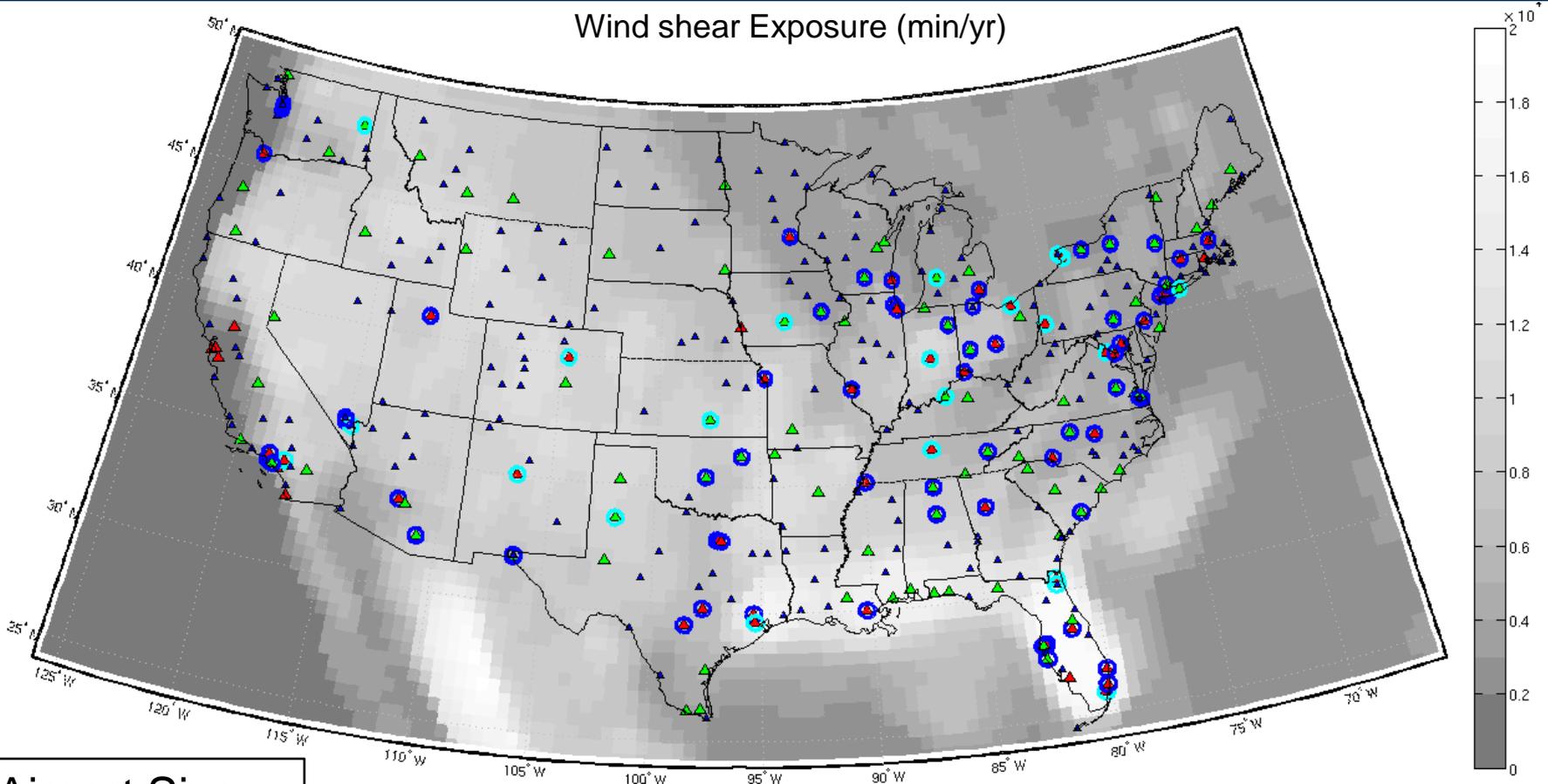
- Current TDWR/WSP Airport Coverage

Circles Represent 30 KM Airport Coverage Area



# Complementary NEXRAD Enhanced Wind Shear Coverage

Wind shear Exposure (min/yr)



## Airport Size

- ▲ Large
- ▲ Medium
- ▲ Small

## Wide-area wind shear coverage

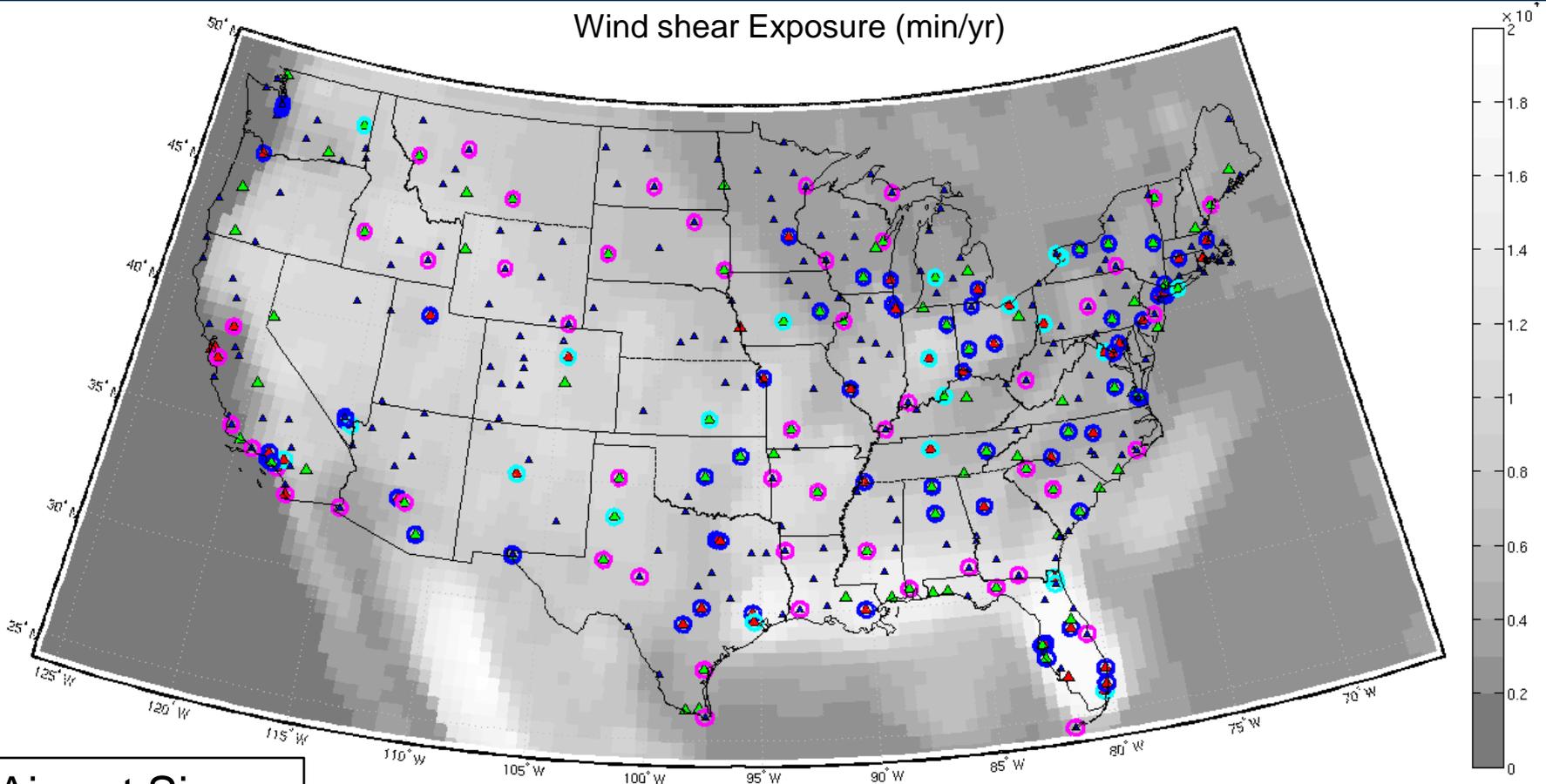
- TDWR/WSP
- NEXRAD w/TDWR/WSP

Circles Represent 30 KM Airport Coverage Area



# Potential NEXRAD Enhanced Wind Shear Coverage

Wind shear Exposure (min/yr)



## Airport Size

- ▲ Large
- ▲ Medium
- ▲ Small

## Wide-area wind shear coverage

- TDWR/WSP
- NEXRAD
- NEXRAD w/TDWR/WSP

Circles Represent 30 KM Airport Coverage Area



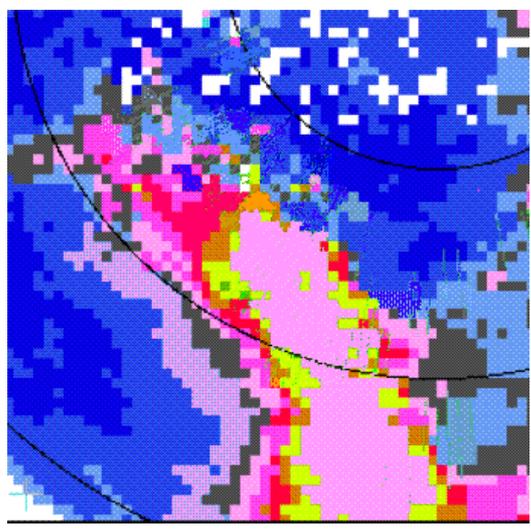
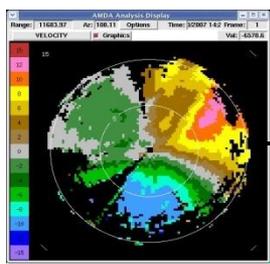
# Automated Microburst Detection Algorithm

- **TDWR benefits study identified NEXRAD as a cost effective alternative for wind shear detection**
- **NEXRAD does not currently have a microburst detection product**
- **There is a need for more wind shear products in NextGen**
- **NEXRAD AMDA based on AMDA concept for the ASR-9 WSP and lidar**
- **Does not require months of code development to determine if such a capability will be useful in the NEXRAD system**

# AMDA Overview

Read a Scan of Base Data

Segment Detection



Segment Association

Segment Density Thresholding

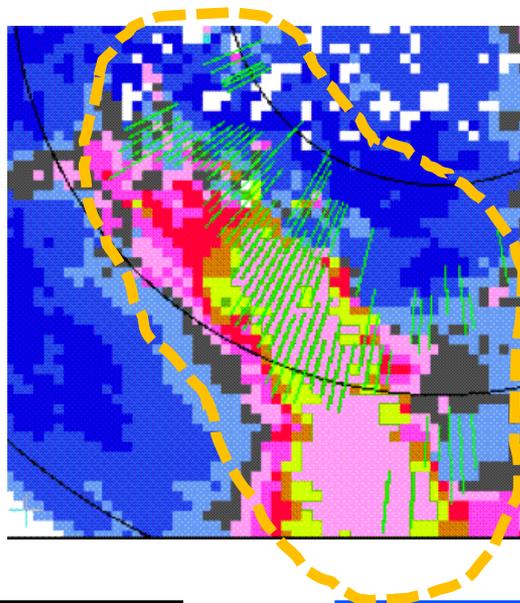
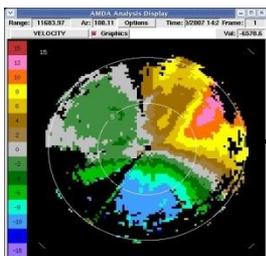
Assimilate Evidence

MB Detections To Shape Algorithm & Display

# AMDA Segment Detection

Read a Scan of Base Data

Segment Detection



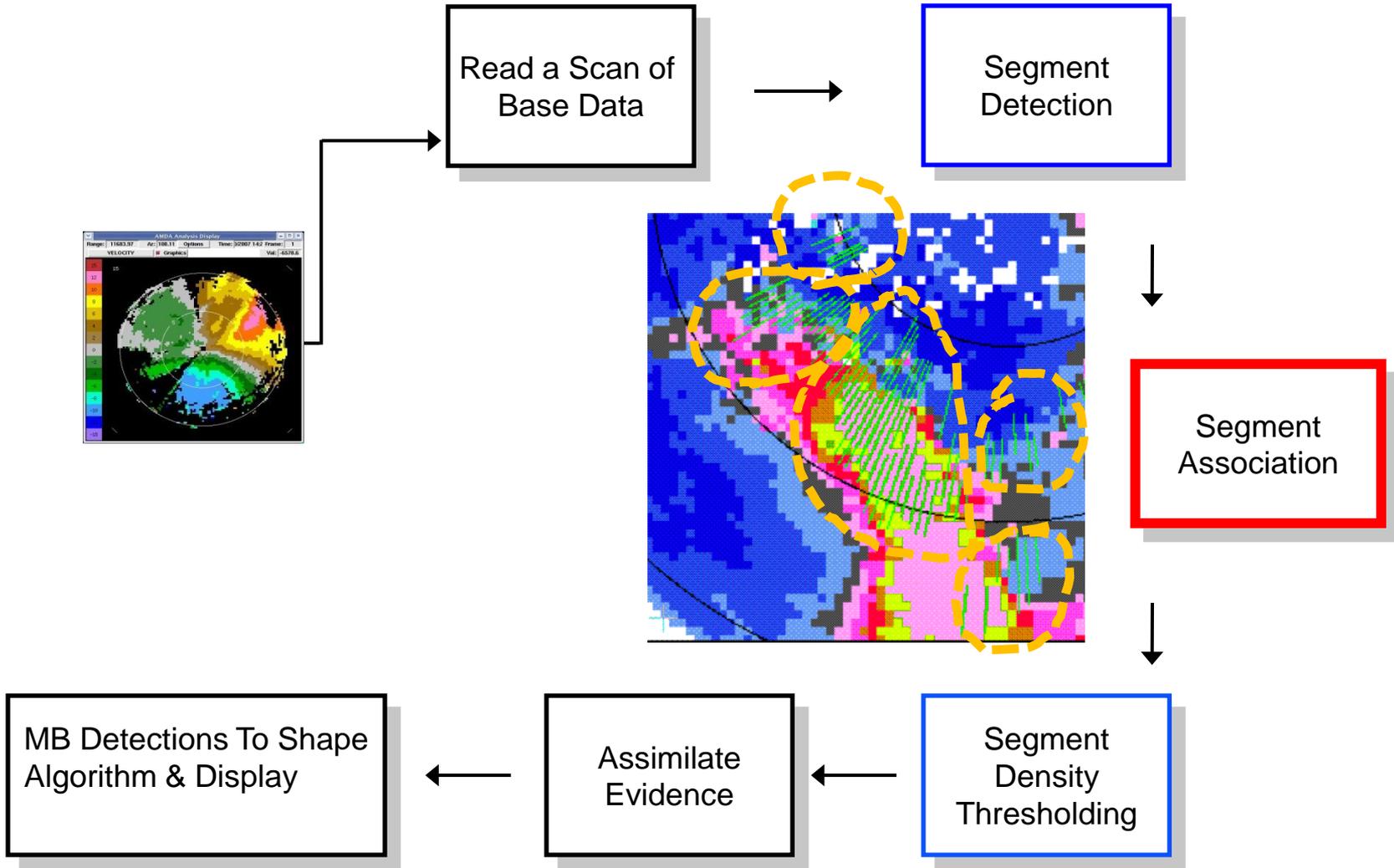
Segment Association

Segment Density Thresholding

Assimilate Evidence

MB Detections To Shape Algorithm & Display

# AMDA Segment Association

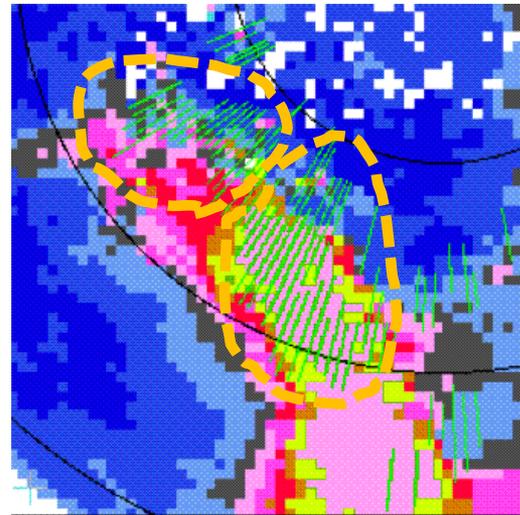
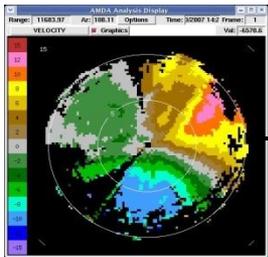




# AMDA Segment Density Thresholding

Read a Scan of  
Base Data

Segment  
Detection



Segment  
Association

MB Detections To Shape  
Algorithm & Display

Assimilate  
Evidence

Segment  
Density  
Thresholding

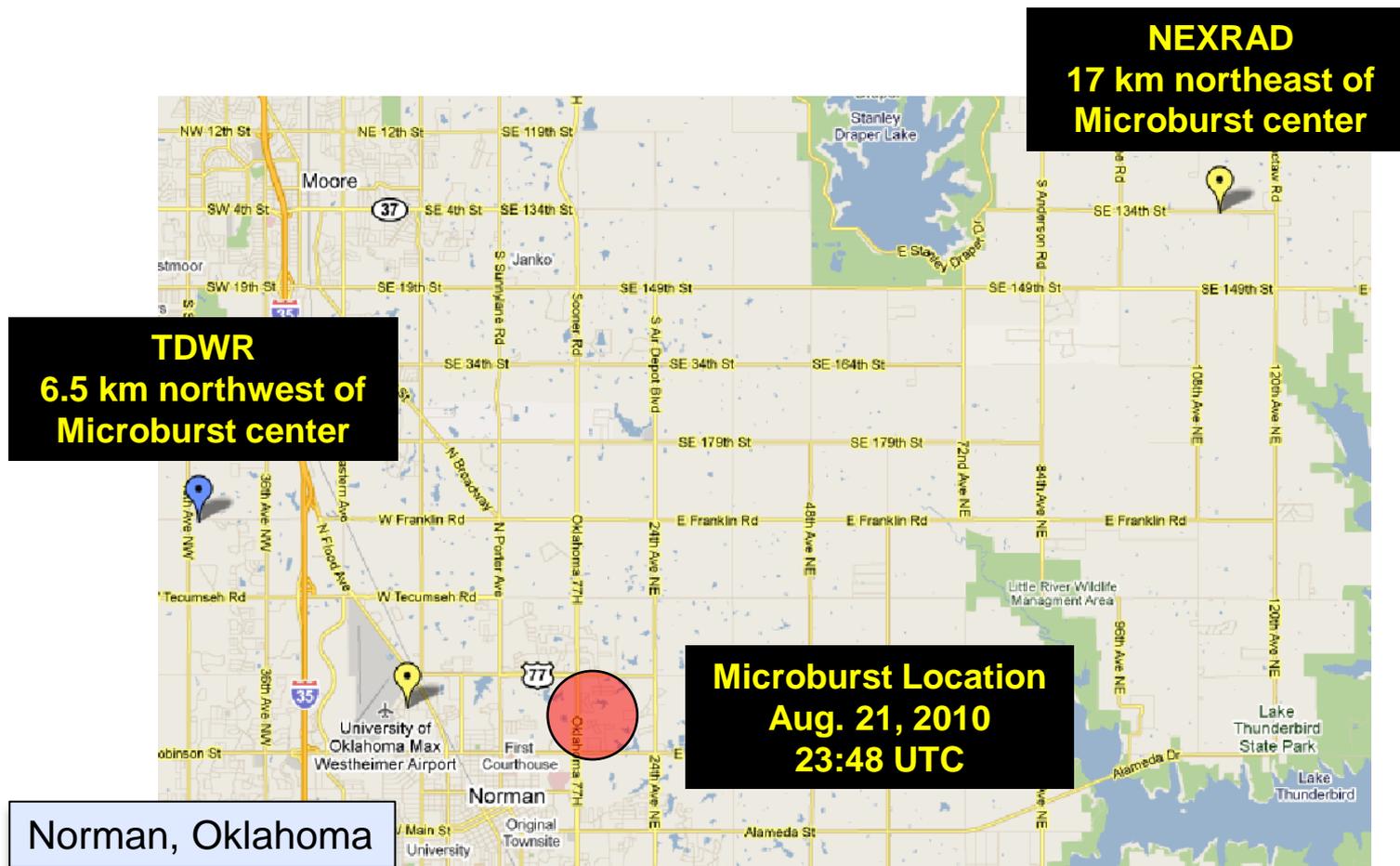


# NEXRAD AMDA Implementation Progress

- **Generate NEXRAD AMDA results to compare to TDWR/ITWS microburst algorithm results at a few live sites**
  - Dallas area with two TDWRs
  - Indianapolis area with a TDWR and NEXRAD running AVSET
- **Comparison warrants integration of AMDA into ORPG CODE**
  - Approx. 85% detection when verified in NEXRAD data
  - Approx. 25 – 30% of TDWR detections not visible to NEXRAD
- **NEXRAD surface (0.5°) scanning likely too infrequent**
  - Best max. return to surface scan every ~4.3 minutes (AVSET help?)
  - Other AMDA and TDWR/ITWS microburst algorithm based on 1 minute or less return to surface scan
- **No predictive component for NEXRAD AMDA**
  - TDWR/ITWS microburst algorithm has predictive component
  - NEXRAD AMDA may need such to augment infrequent surface scanning



# Microburst Comparison Study TDWR and NEXRAD



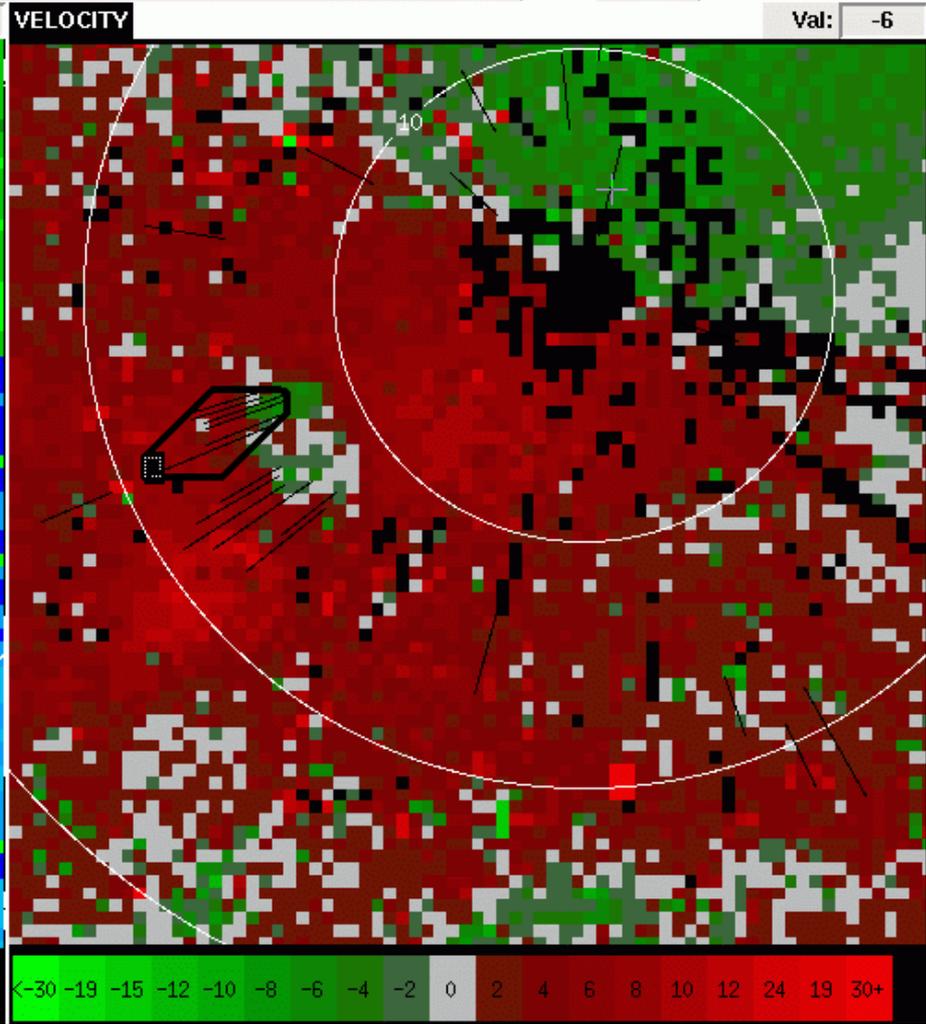
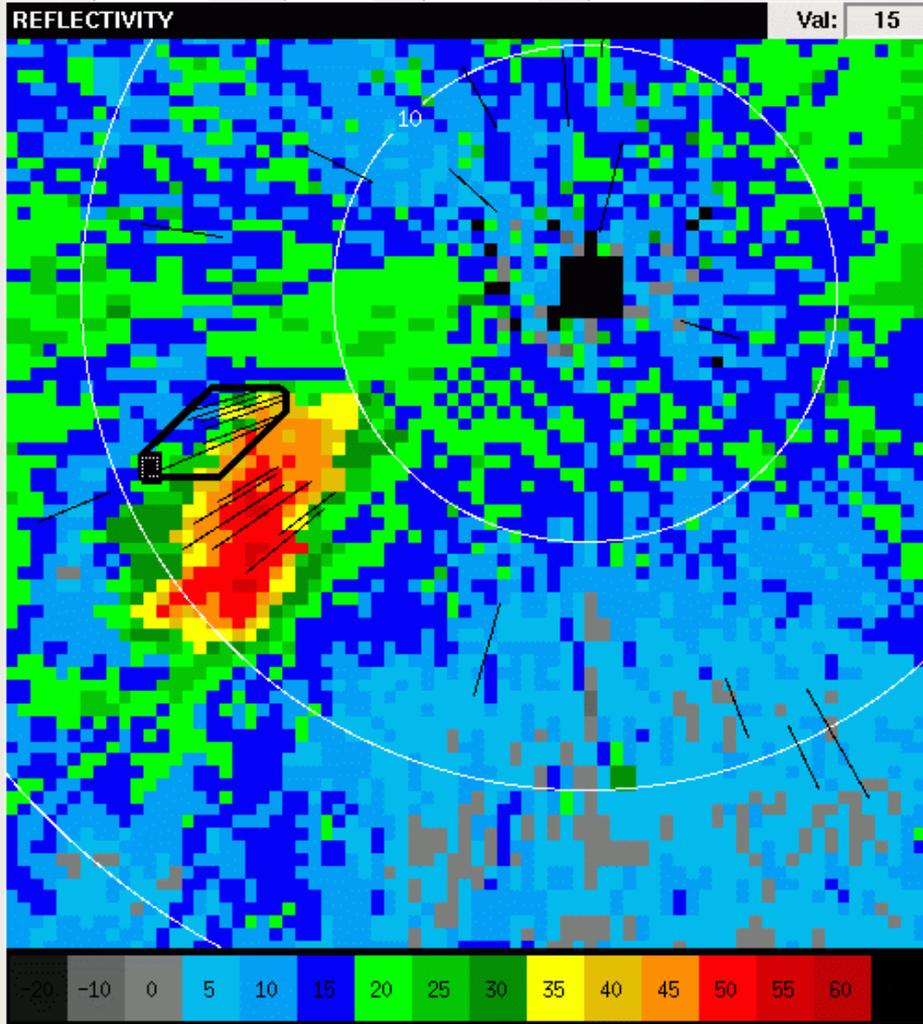
First Phase is to compare TDWR and NEXRAD microburst detections



# NEXRAD Detection of Norman, OK Microburst

Range: 4416.43 Az: 15.42 X: 1174.99 Y: 4259.33

Frame: 22 Time: 08/21/2010 23:48:24



Reflectivity (dBZ)

Radial Velocity (m/s)

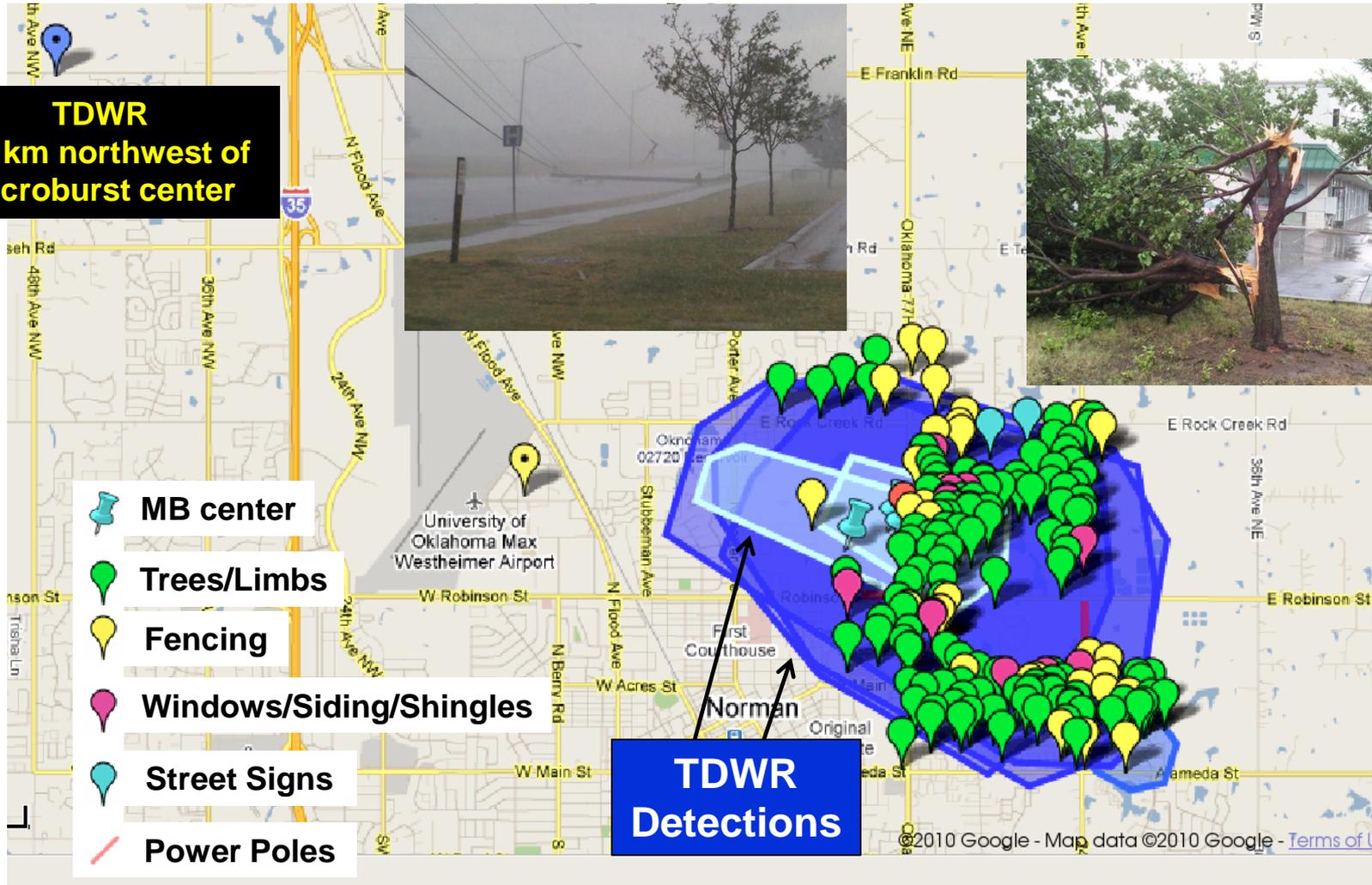


# Damage Survey From Microburst Event in Norman Oklahoma

August 21, 2010 23:48 UTC

**NEXRAD**  
17 km northeast of  
Microburst center

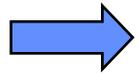
**TDWR**  
6.5 km northwest of  
Microburst center



Damage Survey Mapping Courtesy of Paul Schlatter, NOAA



# Outline



- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**



# Partnerships Contribute to LL Development of Dual Pol Algorithm Products

- **FAA supports LL partnership plan with subject matter experts (SMEs) on dual pol radar from national laboratories and universities**
- **Contributions from SMEs to be incorporated into real-time dual pol algorithms in development at LL for FAA weather systems**
- **Proxy data sources being used prior to NEXRAD upgrade**
  - Valparaiso University's C-band Dual Pol radar
  - KOUN (Norman, OK) prototype and beta site dual pol data
- **Identify strategies to bolster determination of the melting layer altitude and hydrometeor classification**
- **Incrementally more robust products from increasingly sophisticated algorithms**
  - **Current Source: NEXRAD Open Radar Product Generator**
  - **Future Added Source: NextGen Weather Processor**

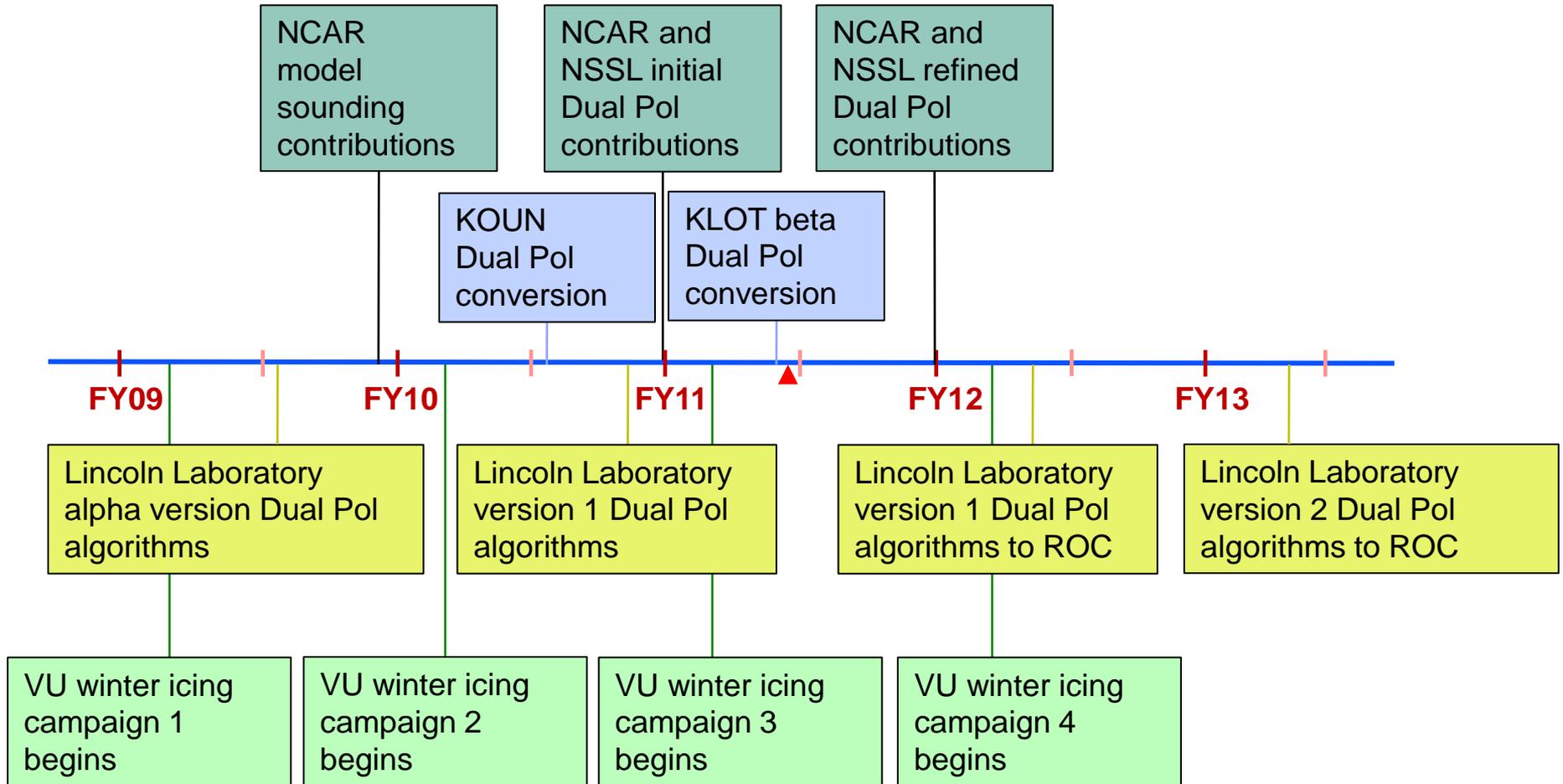


NCAR

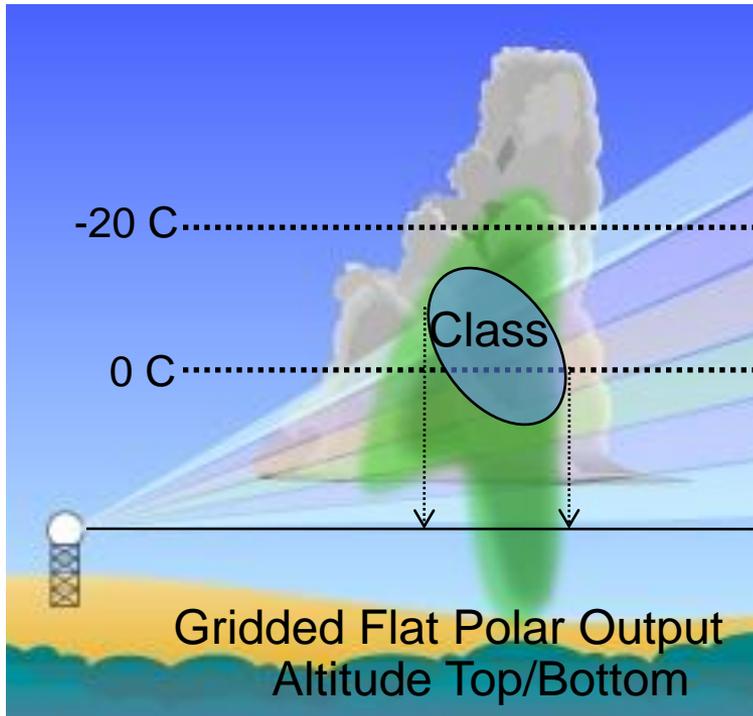




# Partner Dual Pol Product Development Timeline



# Icing Hazard and Hail Hazard Products



- 1° x 1 km grid to 300 km range
- Top/bottom altitude of hazard per grid point
- Severity and confidence indices in final version

- Identify 3D hazard regions in radar volume
- Version 1 with NEXRAD hydrometeor classification, T/RH model soundings, and dual pol fields (target summer 2011)
- Version 2 with added techniques from Lincoln Laboratory and partner labs (target delivery in out years)
- *Will need surface data and/or additional sounding fields*

- LL/FAA readiness decision in 2011
- Hand off to ROC in early 2012



# Outline

- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- ➔ • **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**

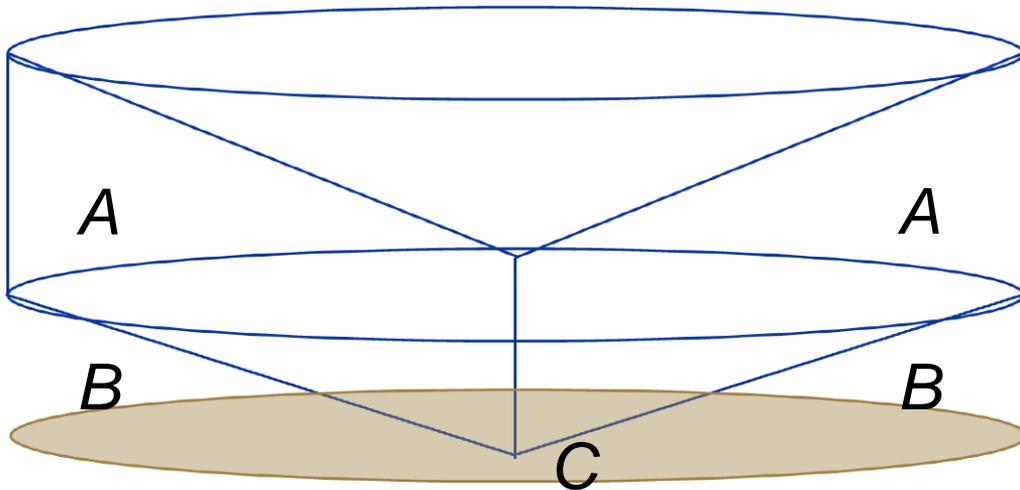


# Complete Icing Hazard Coverage

A. ICING HAZARDS WITHIN RADAR VOLUME FOR EN ROUTE APPLICATIONS  
*Supercooled water, ice crystals*

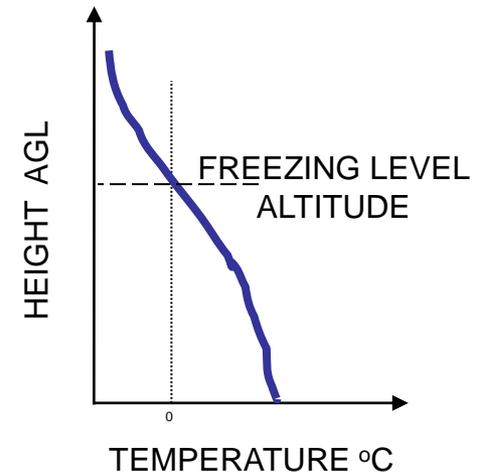
B. ICING HAZARDS BENEATH LOWEST SCAN AND ABOVE SURFACE  
*Snow, sleet, rain, freezing rain, supercooled water*

C. ICING HAZARDS AT SURFACE FOR TERMINAL APPLICATIONS  
*Snow, sleet, rain, freezing rain*



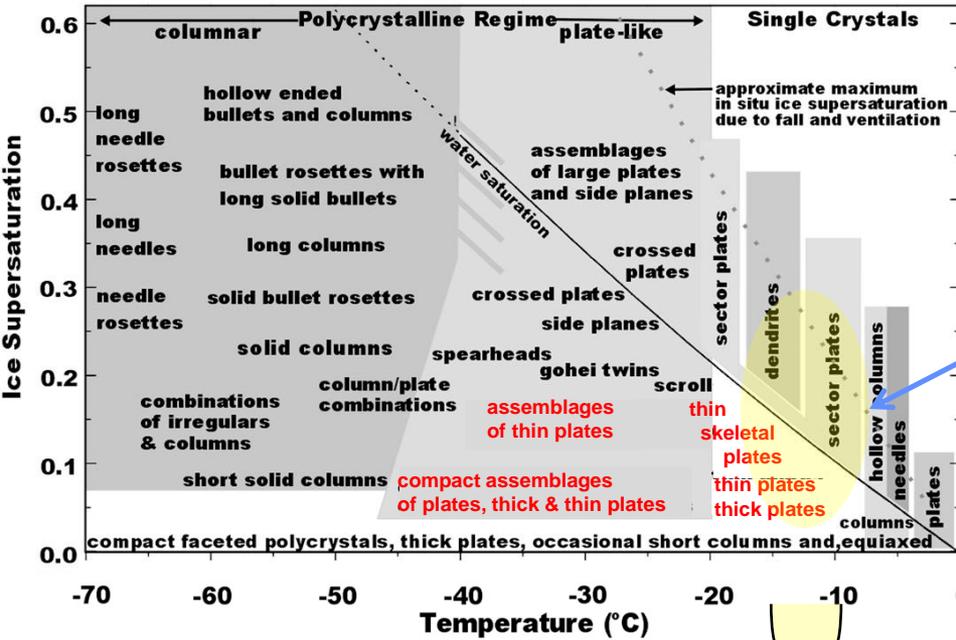
*Radar Volume*

*PLUS*



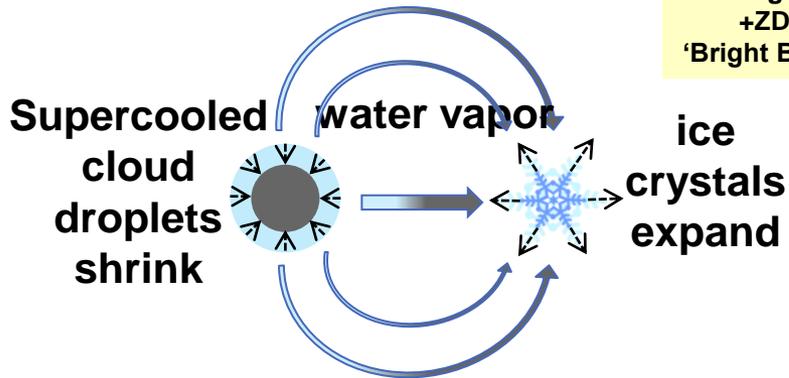
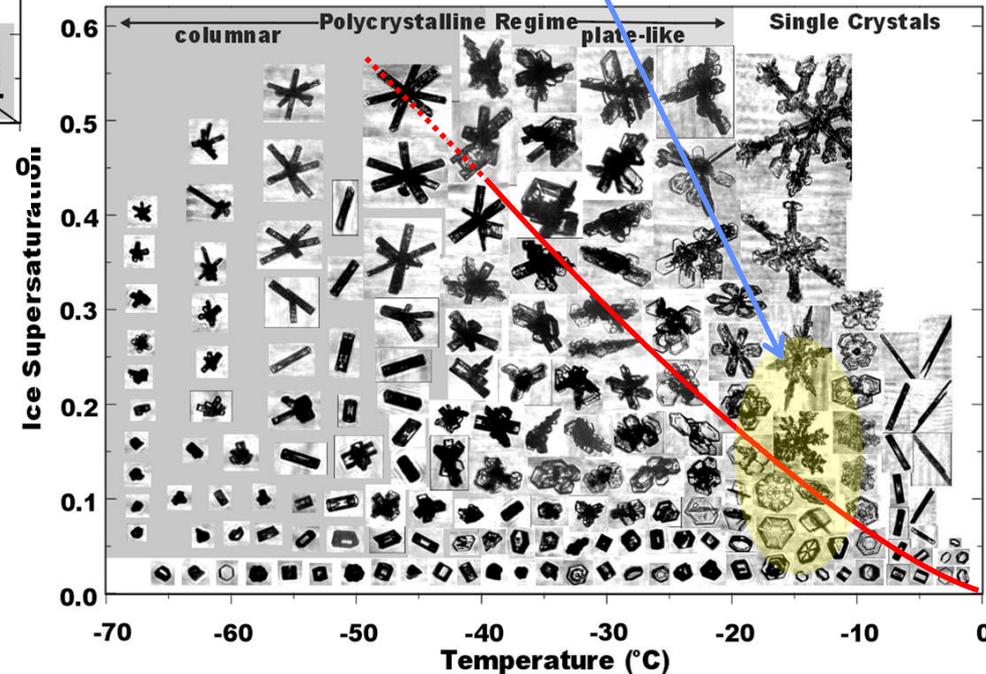
*Thermodynamic Sounding*

# Discover Supercooled Water in Dual Pol Data



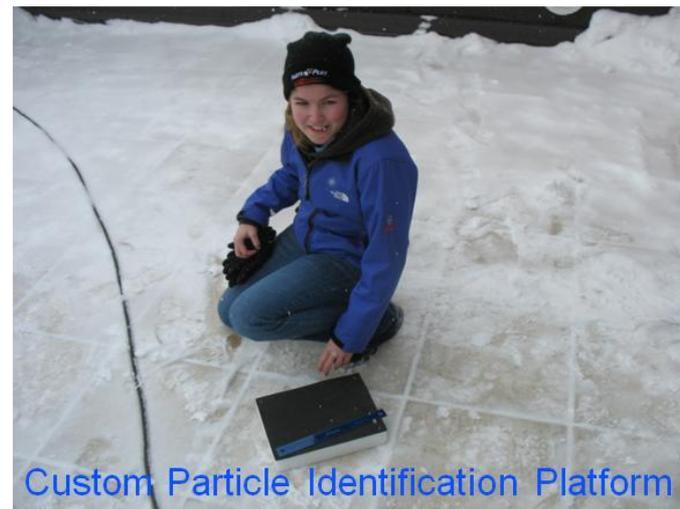
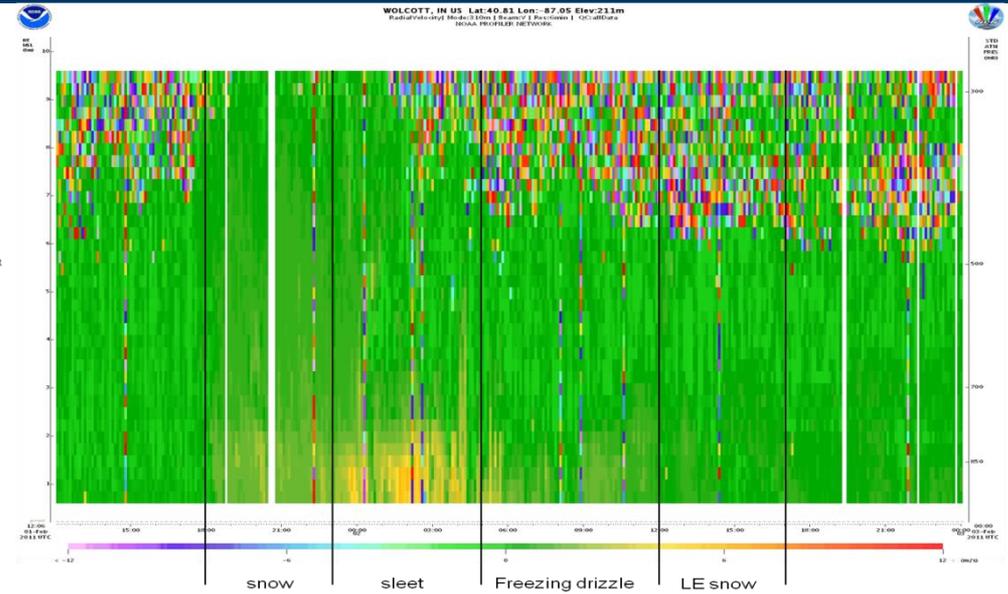
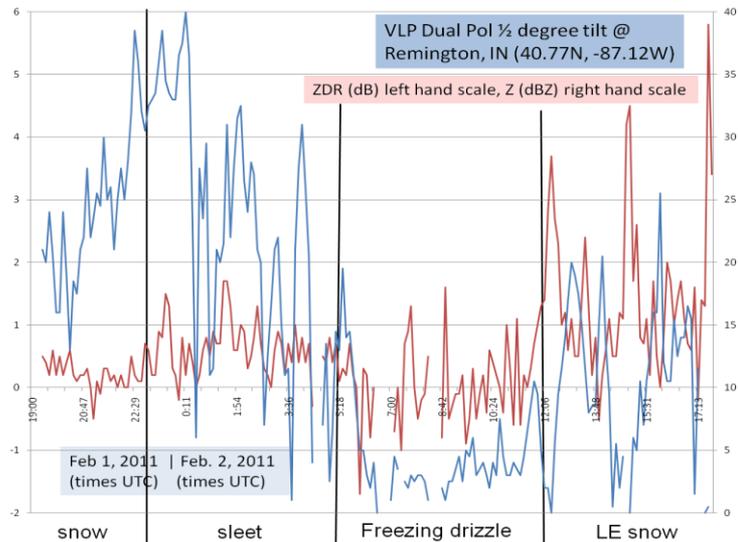
(from: Bailey and Hallett (2009))

The dendrite and plate regimes respond differently to supercooled water (icing) and yield different dual pol signature combinations



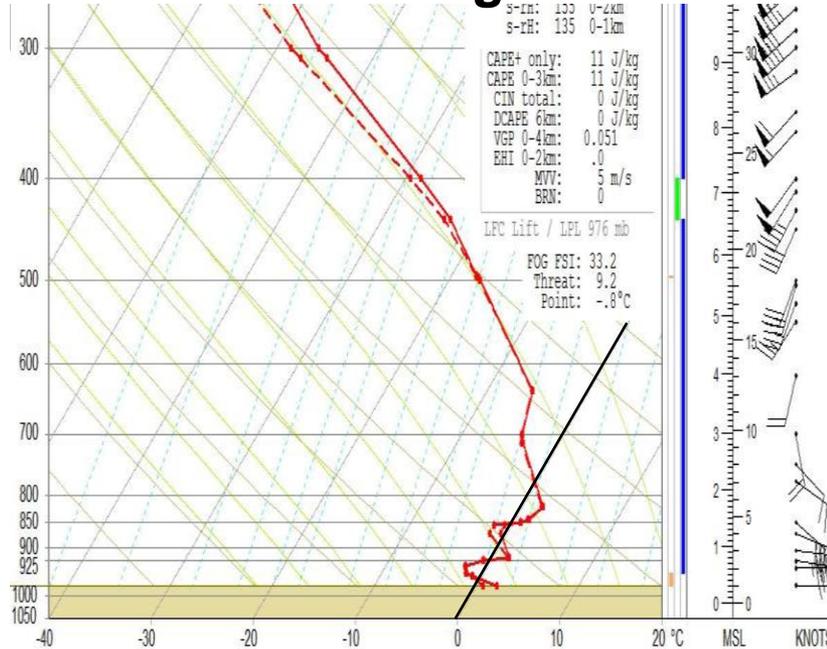


# Valparaiso University's Winter Weather Verification Campaigns

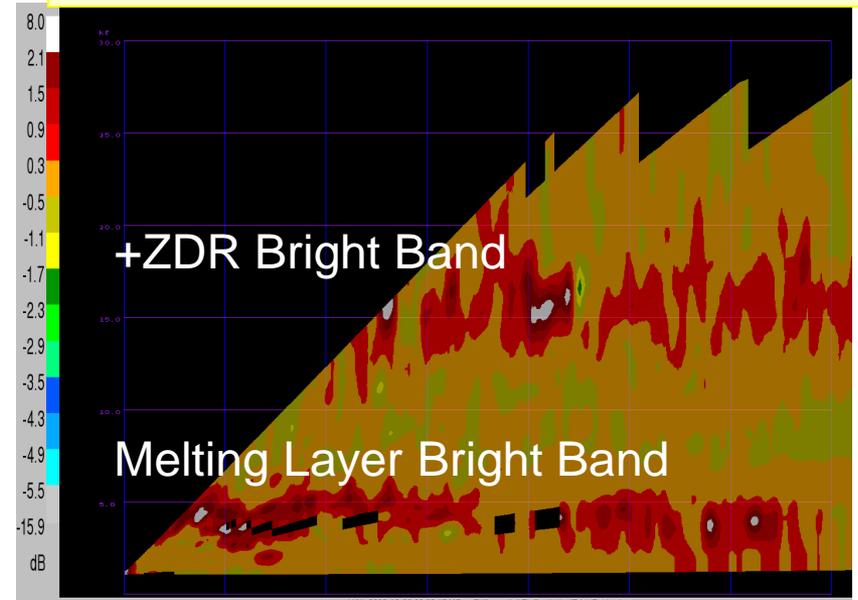


# Sounding Augments +ZDR Bright Band Interpretation

## Sounding



## VLP Dual Pol C-band ZDR RHI



- **+ZDR Bright Band** often noted within the **-9° to -15° C** temperature range
- **Moderate rime icing PIREPs** often associated with the **-9° to -15° C** altitude
- **LL, NSSL, and NCAR** discussing relevance of this feature to the icing hazard
- **Plan to integrate +ZDR Bright Band** concept into Icing Hazard product

# NSSL – Surface Typing Technique

- **Determine background winter weather classifications using RUC or HRRR model thermodynamic vertical profiles**
- **Add dual polarization identification of elevated warm layer (bright band) to get final surface classification**
- **Extends range from radar that surface classifications will be possible**

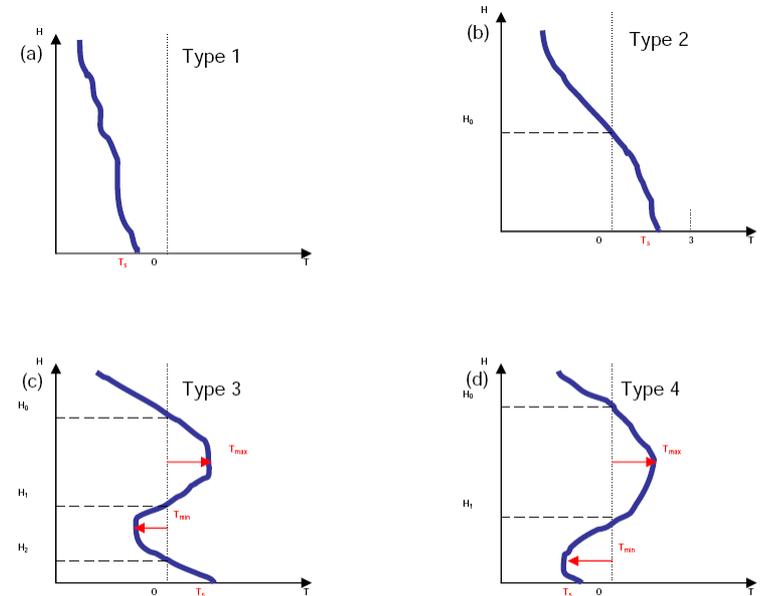


Fig. 3: Four types of vertical profiles of wet bulb temperature ( $T_w$ ) for which the surface temperature wet bulb temperature ( $T_{ws}$ ) is less than  $3^\circ\text{C}$ .

<b>Elevated warm layer</b>	yes	yes	yes	yes	yes	yes
<b>Background class</b>	SN		All class except for RA	IP	FR/IP	RA
<b>Condition</b>	$T_{wmin} < -7^\circ\text{C}$	$T_{wmin} > -7^\circ\text{C}$	Median BBH < 1km			
<b>Surface ID (final)</b>	IP	FR/IP	WS	IP	FR/IP	RA

<b>Elevated warm layer</b>	No		No	No	No	No	No	
<b>Background class</b>	SN		IP	FR/IP	RA	FR	WS	
<b>Condition</b>	$Z_{DR} > 0.6$ and $Z < 20$ dBZ		otherwise					
<b>Surface ID (final)</b>	CR		DS	IP	FR/IP	RA	FR	WS

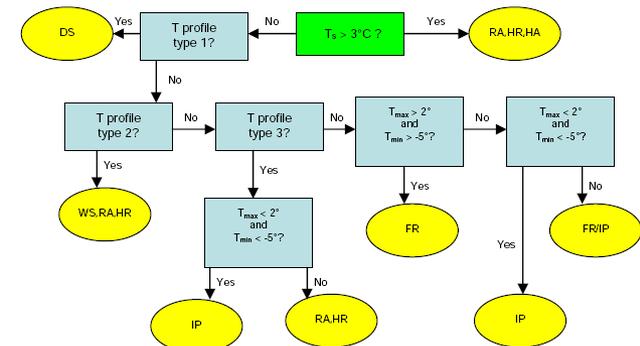
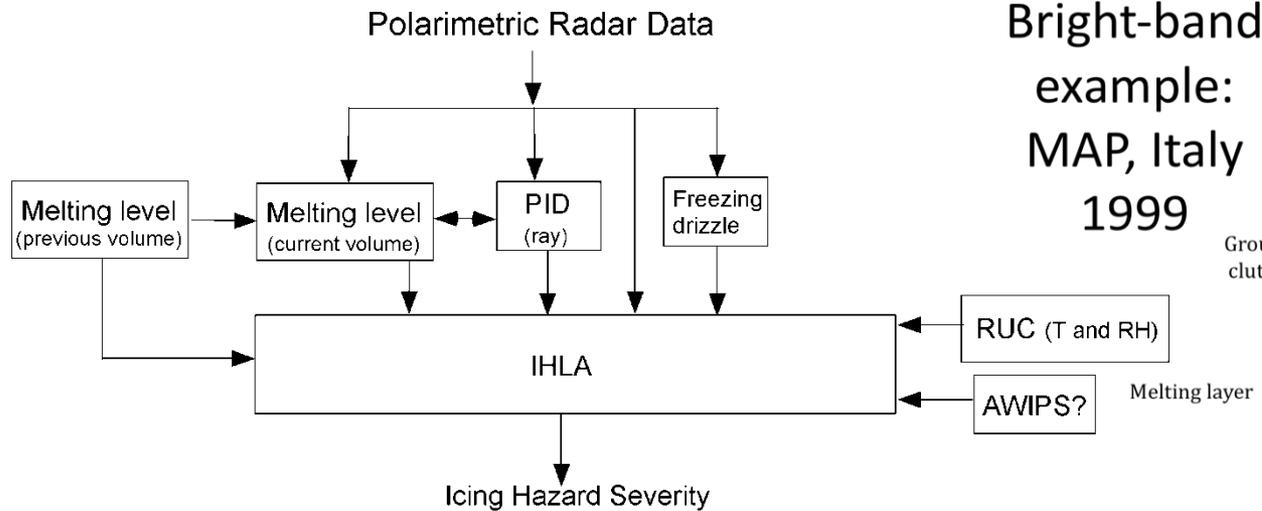
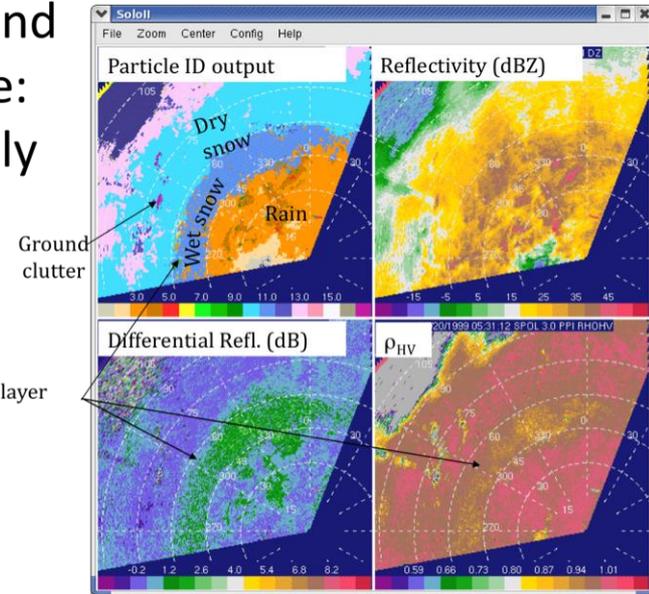


Fig. 4: Flow chart showing logistic for determination of precipitation types depending on vertical profile of wet bulb temperature.

# NCAR – Non-constrained Icing Hazard Levels (IHL) Algorithm Development



Bright-band example:  
MAP, Italy  
1999

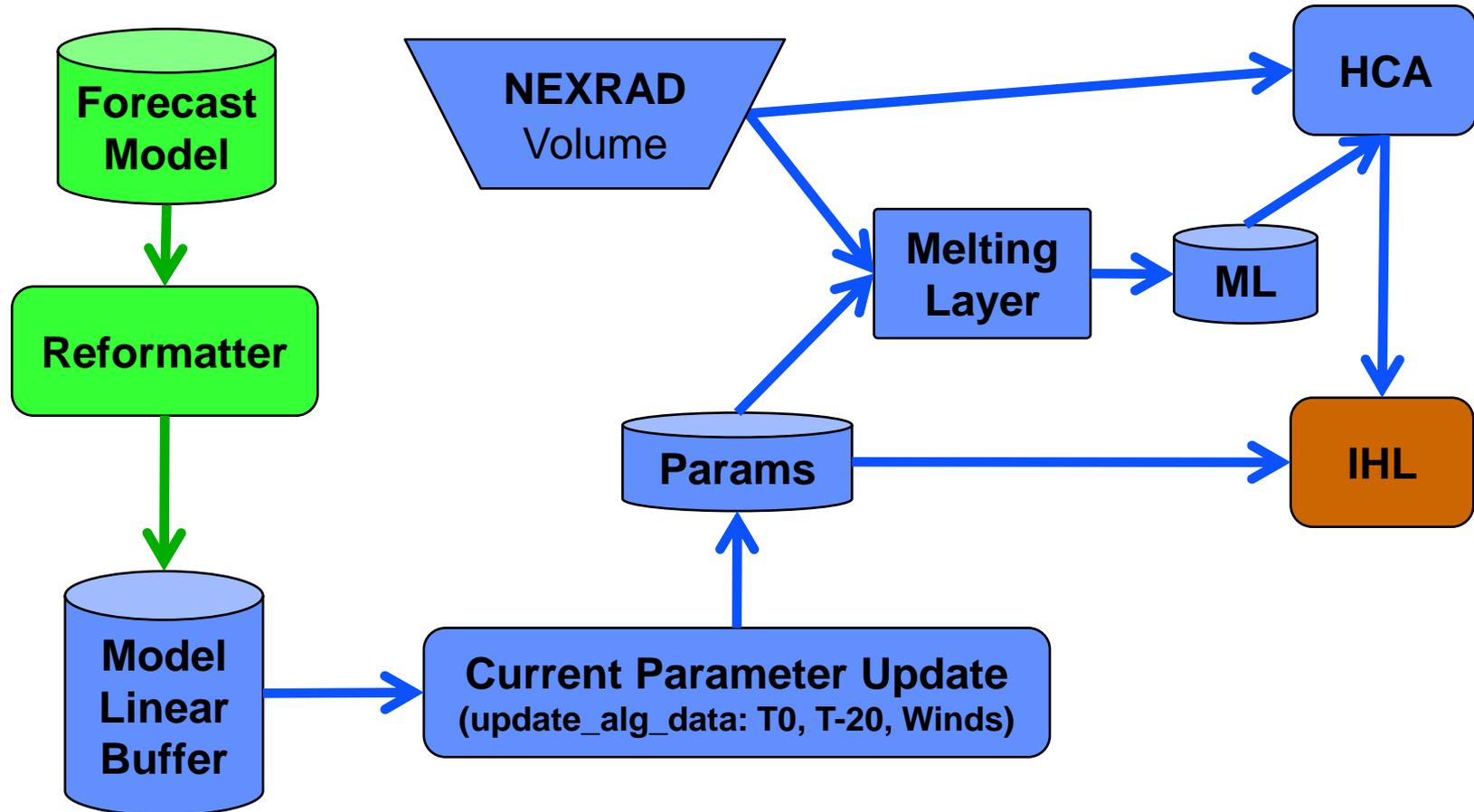


- **Two IHL approaches**
  - Using current HCA augmented with CIP-like use of model thermodynamic profiles etc.
  - Using additional NCAR components (above) for later version(s)
    - Will NEXRAD ORPG environment support?
    - Will NextGen Weather Processor be more appropriate?

- **Data sources**
  - MAP via NCAR SPOL with in situ aircraft
  - CHILL radar
  - NEXRAD DP

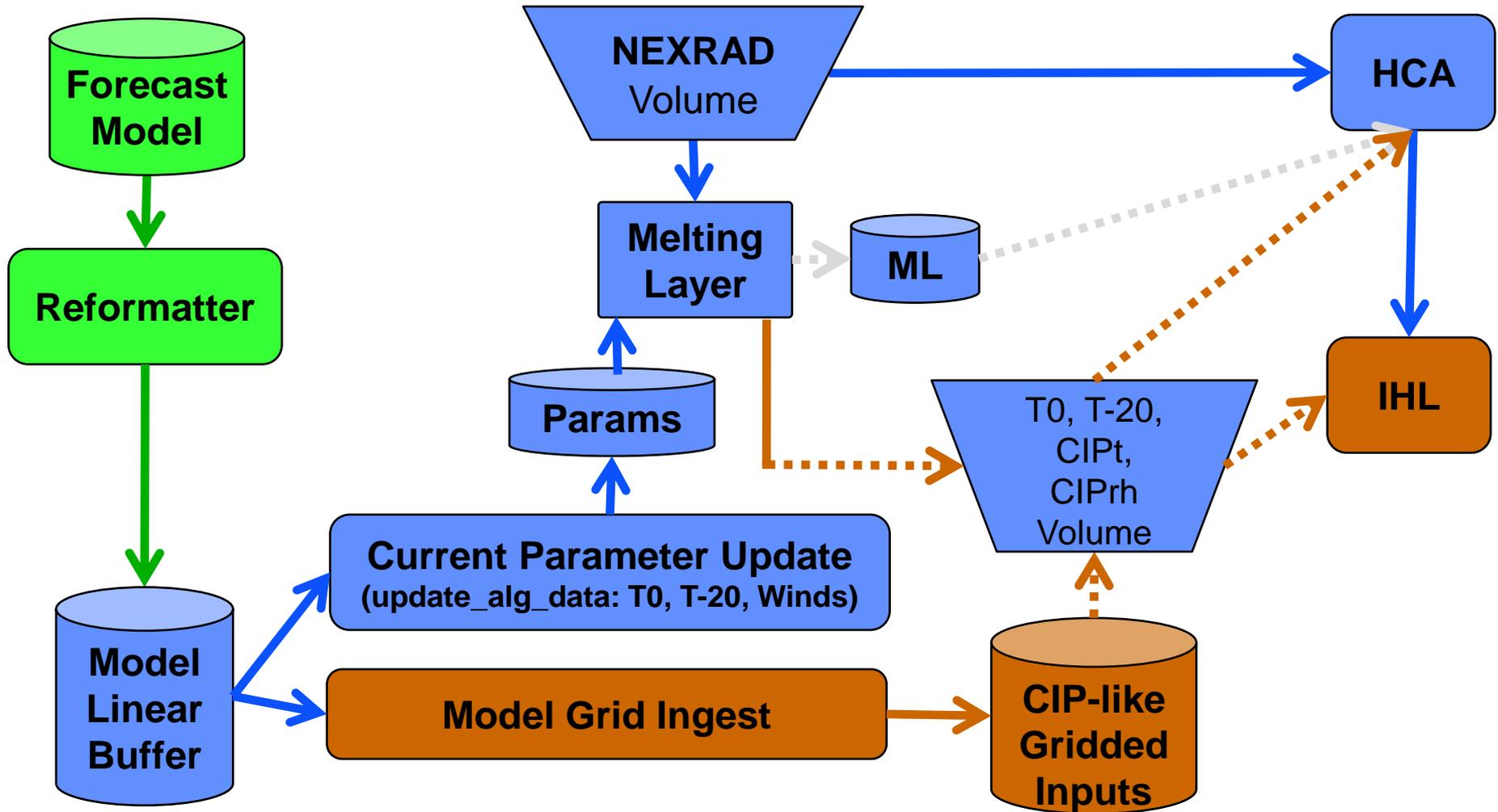


# Icing Hazard Levels (IHL) Initial Operating Capability





# Icing Hazard Levels (IHL) CIP-Enhanced Environmental Support



Existing Software/Data      New Interim Software      New Software (ORPG)



# Icing Hazard Levels (IHL) Development Challenges

- **Melting Layer Detection Algorithm**
  - Some minor errors in the MLDA layer calculation
  - Default freezing level important
    - Updated to ingest RUC model data for defaults
  - Spatial changes are important in icing situations
    - Freezing level or levels evolve during the storm
    - Current algorithm uses only the radar location for freezing level
    - Updating to create dynamic freezing level from merging model and MLDA over entire grid
- **Hydrometeor Classification Algorithm categories**
  - Thresholding based on melting level limits flexibility
  - Icing algorithm may benefit from understanding ‘confusion matrix’ rather than single category
- **Verification**
  - Hail and differentiation of liquid/frozen categories are easily verified based on ground observations
  - Refining verification of graupel, wet snow, dry snow, etc. more challenging
    - Working on utilizing manual/automated techniques to verify frozen categories with greater differentiation



# HCA Relationship to Icing Hazard

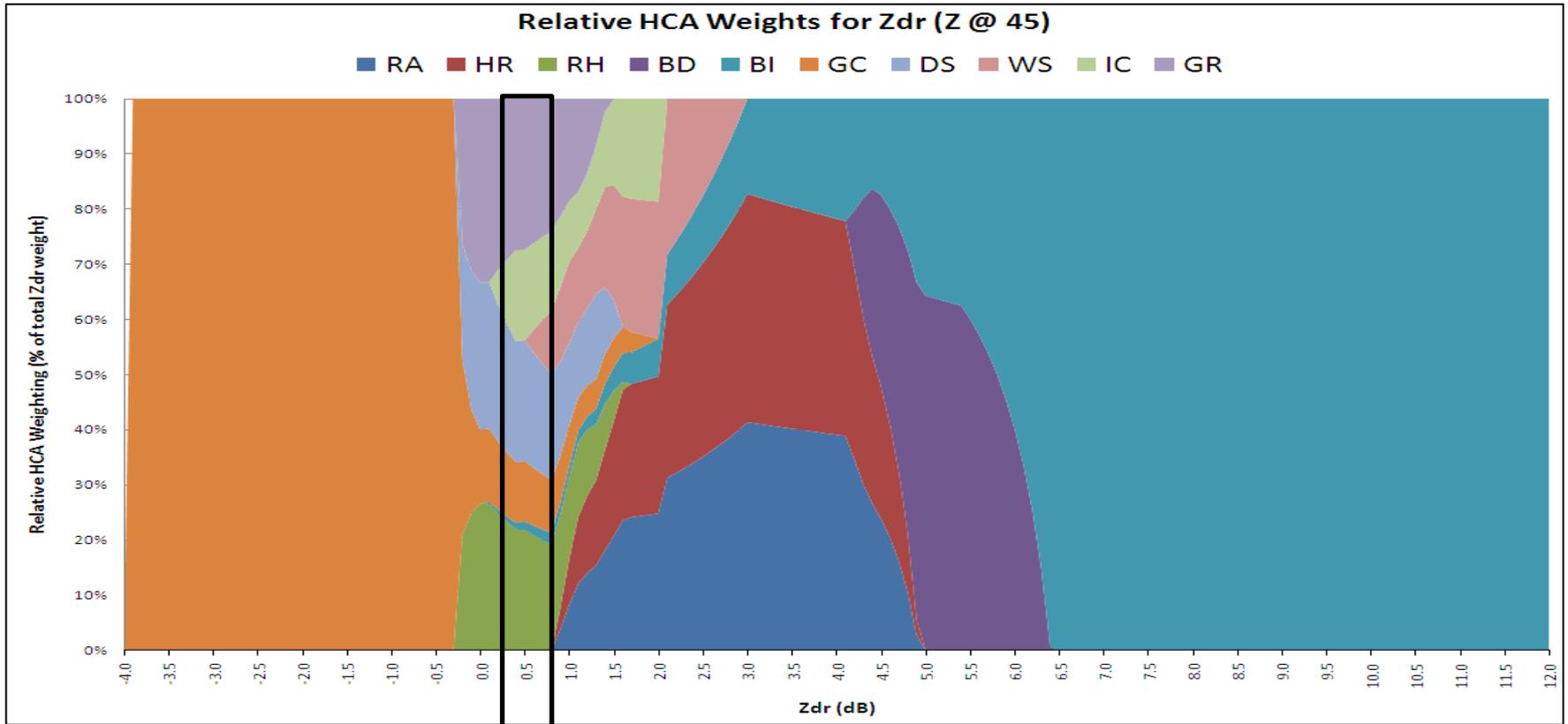
Categories Thresholds		No Echo	Dry Snow	Wet Snow	Ice Crystals	Graupel	Big Drops	Light/Mod Rain	Heavy Rain	Rain and Hail	Ground Clutter/AP	Biological	Unknown
		NE	DS	WS	IC	GR	BD	RA	HR	RH	GC	BI	UK
Melting Layer	Above	Unknown	None	None	Icing	Icing	Icing	Icing	Icing	Icing	Unknown	Unknown	Unknown
	Mostly Above	Unknown	None	None	Icing	Icing	Icing	Icing	Icing	Icing	None	Unknown	Unknown
	Within	Unknown	None	None	Unknown	Icing	Conditional	Conditional	Conditional	Icing	None	None	Unknown
	Mostly Below	None	None	None	Unknown	Conditional	None	None	None	None	None	None	None
	Below	None	None	None	None	None	None	None	None	None	None	None	None

HCA Classification Key	
	Current HCA Category
	Not in HCA
	Not enough information to classify

Icing Hazard Key	
<b>Icing:</b>	<b>Definitive icing region</b>
<b>Conditional:</b>	<b>Potential hazard based on fluctuations in freezing level</b>
<b>None:</b>	<b>No icing</b>
<b>Unknown:</b>	<b>More research is needed</b>



# Sensitivity of HCA Parameter Weighting Functions



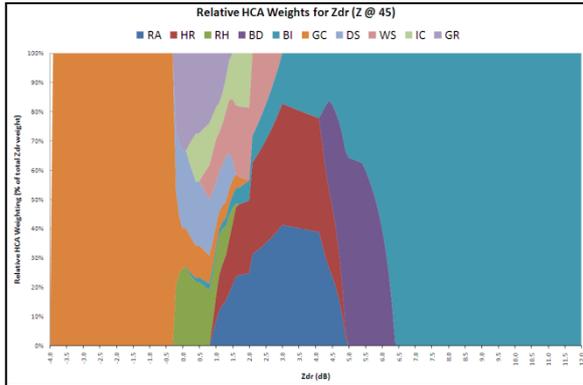
Zdr = 0.5	RA	HR	RH	BD	BI	GC	DS	WS	IC	GR	Sum
HCA Weight	0	0	0.8	0	0.06	0.4	0.8	0	0.6	1.0	3.66
%	0	0	22%	0	2%	11%	22%	0	16%	27%	100%

Small variations in Zdr result in large impacts to HCA

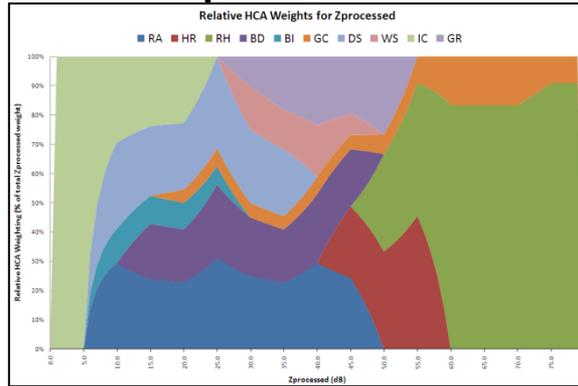


# Sensitivity of HCA Parameter Weighting Functions

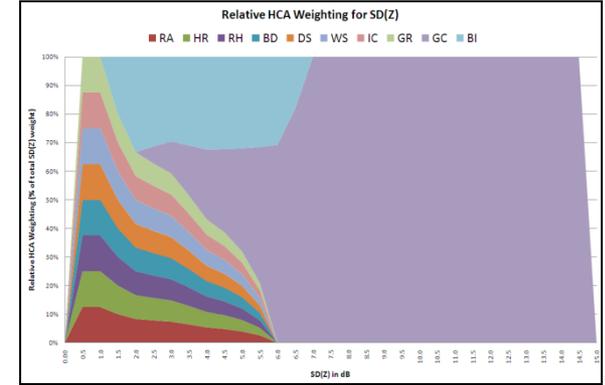
## Zdr



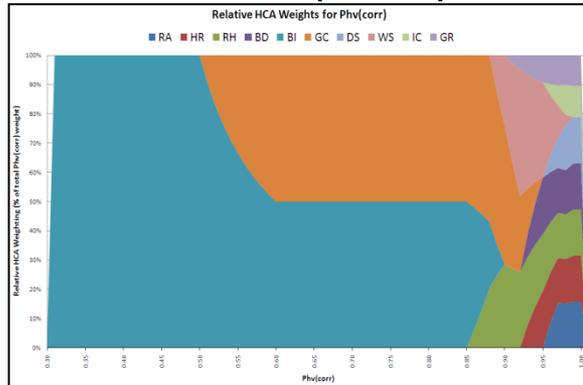
## Zprocessed



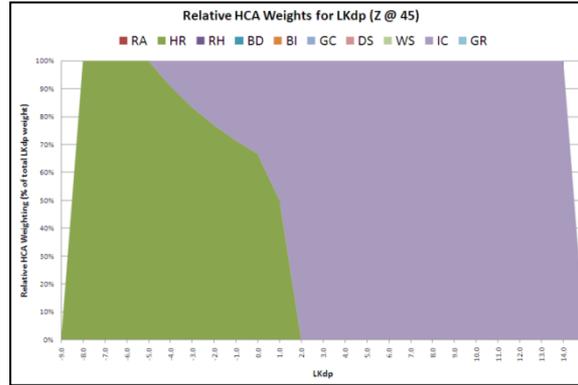
## SD(Z)



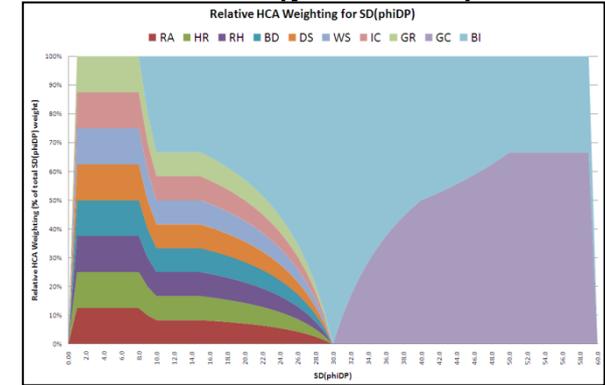
## Rhohv(corr)



## LKdp

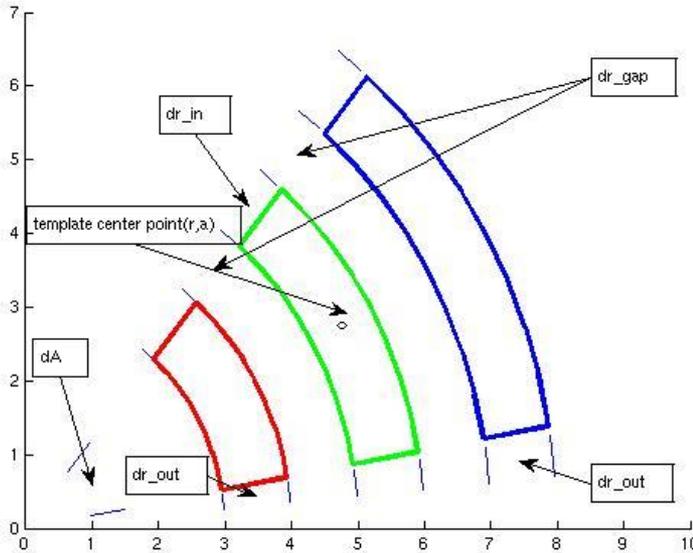


## SD(phiDP)



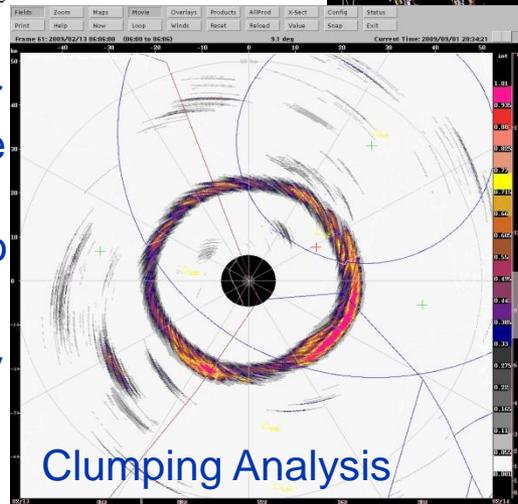
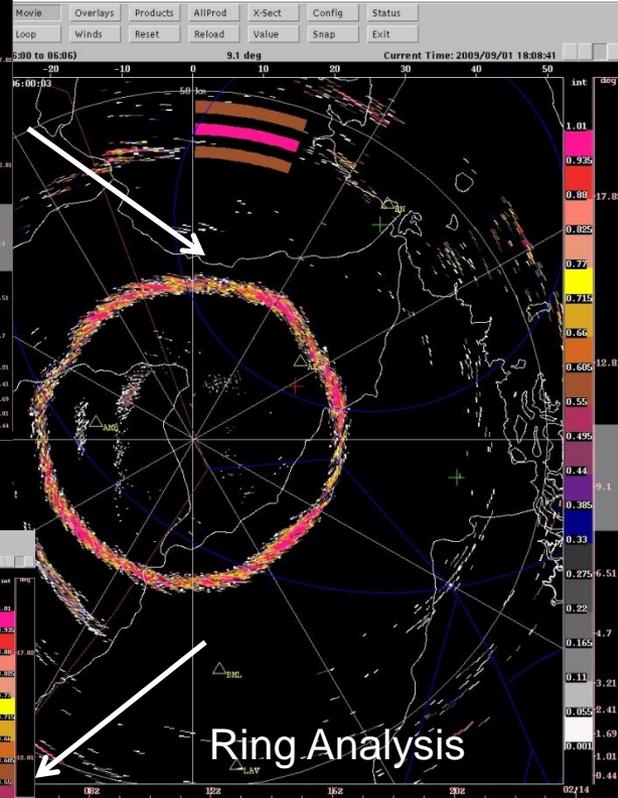
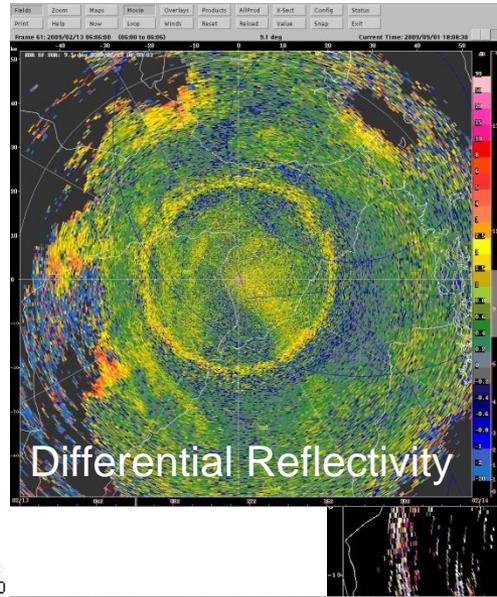


# NCAR Method for Detection of Melting Level Altitude



The data difference between the center (green) region and the non-center (blue and red) regions are computed and a derived value 'Ring(r,a)' is computed for that point

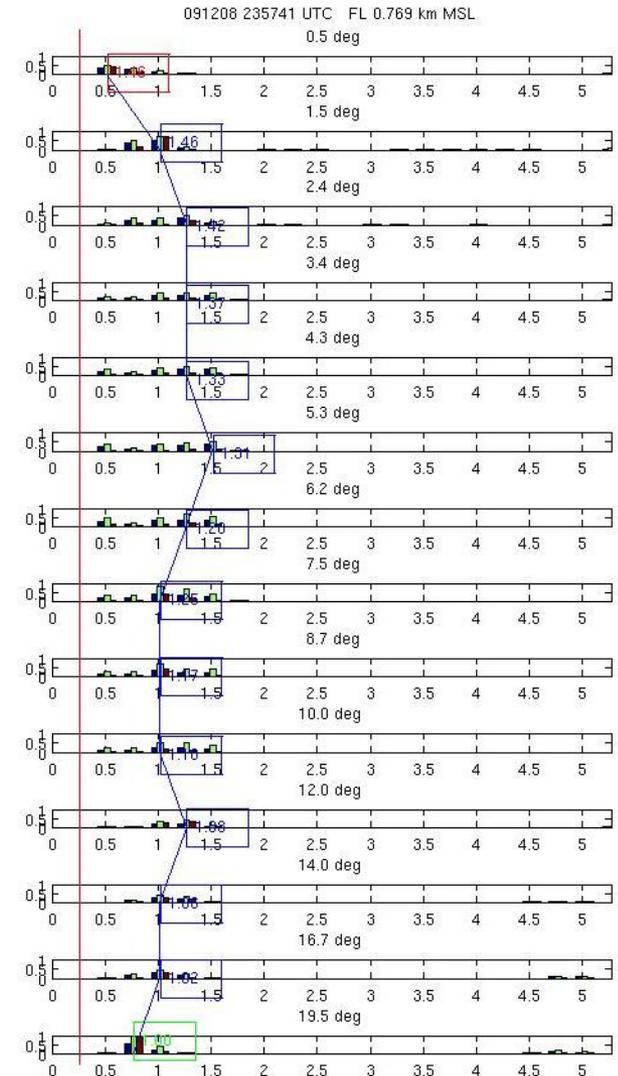
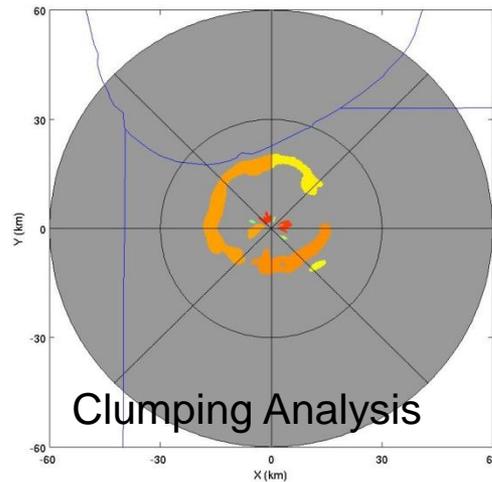
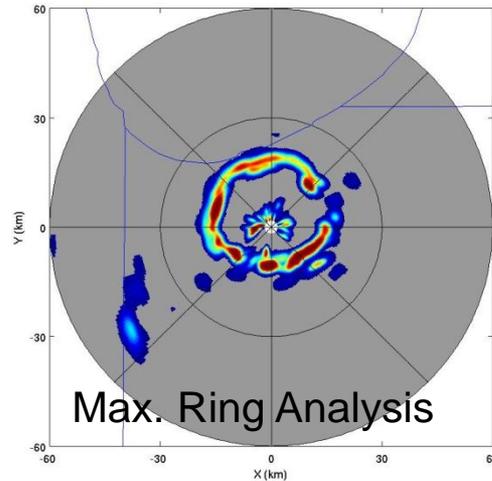
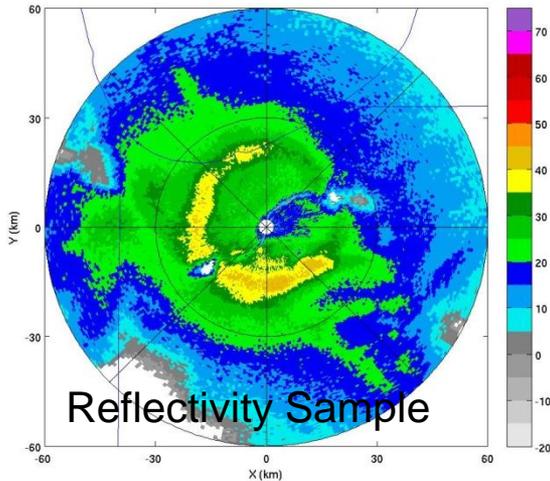
NCAR method is applied to Z, ZDR,  $\rho_{hv}$  for all elevation angle scans and then combined for consensus



9.1 degree elevation  
Single Field Example

# LL Implementation of NCAR Detection of Melting Layer Altitude

## 4.3 degree elevation Multi-Field Example

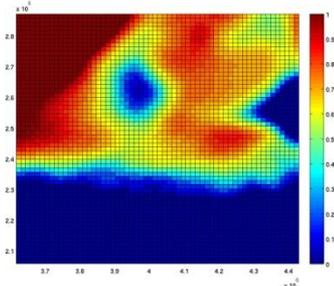
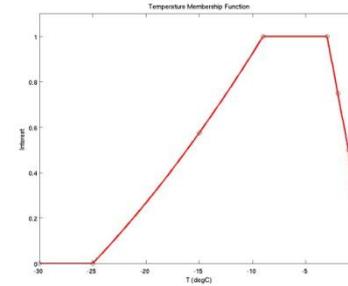
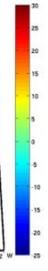
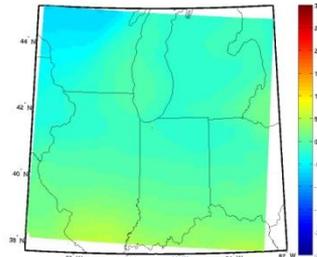
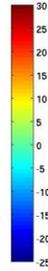
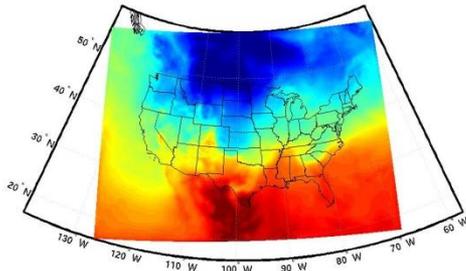


- Valparaiso University dual pol data used
- Challenging double melting layer case
- Extend logic to other challenging cases and possibly +ZDR bright band

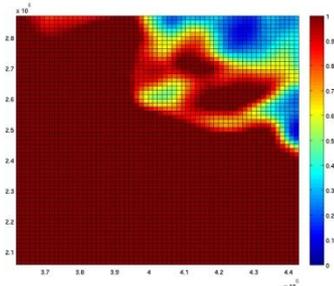
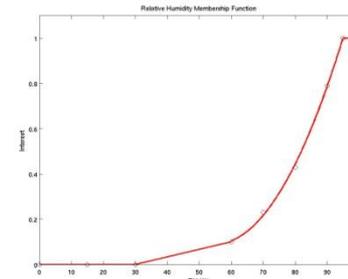
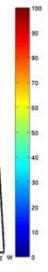
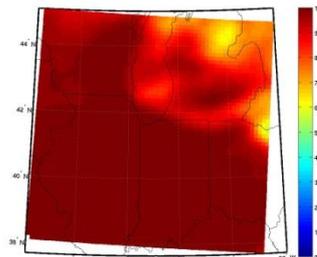
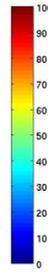
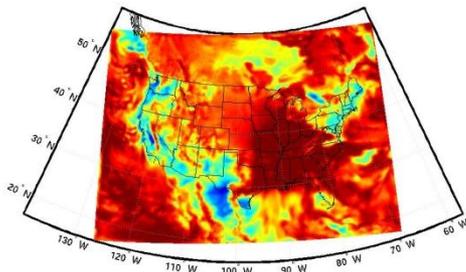


# Transform Model Data to NCAR CIP Interest Products Centered at Valparaiso

## Temperature



## Relative Humidity



RUC-13 km Full Grid



Subsample ~800 km<sup>2</sup>



Membership Functions



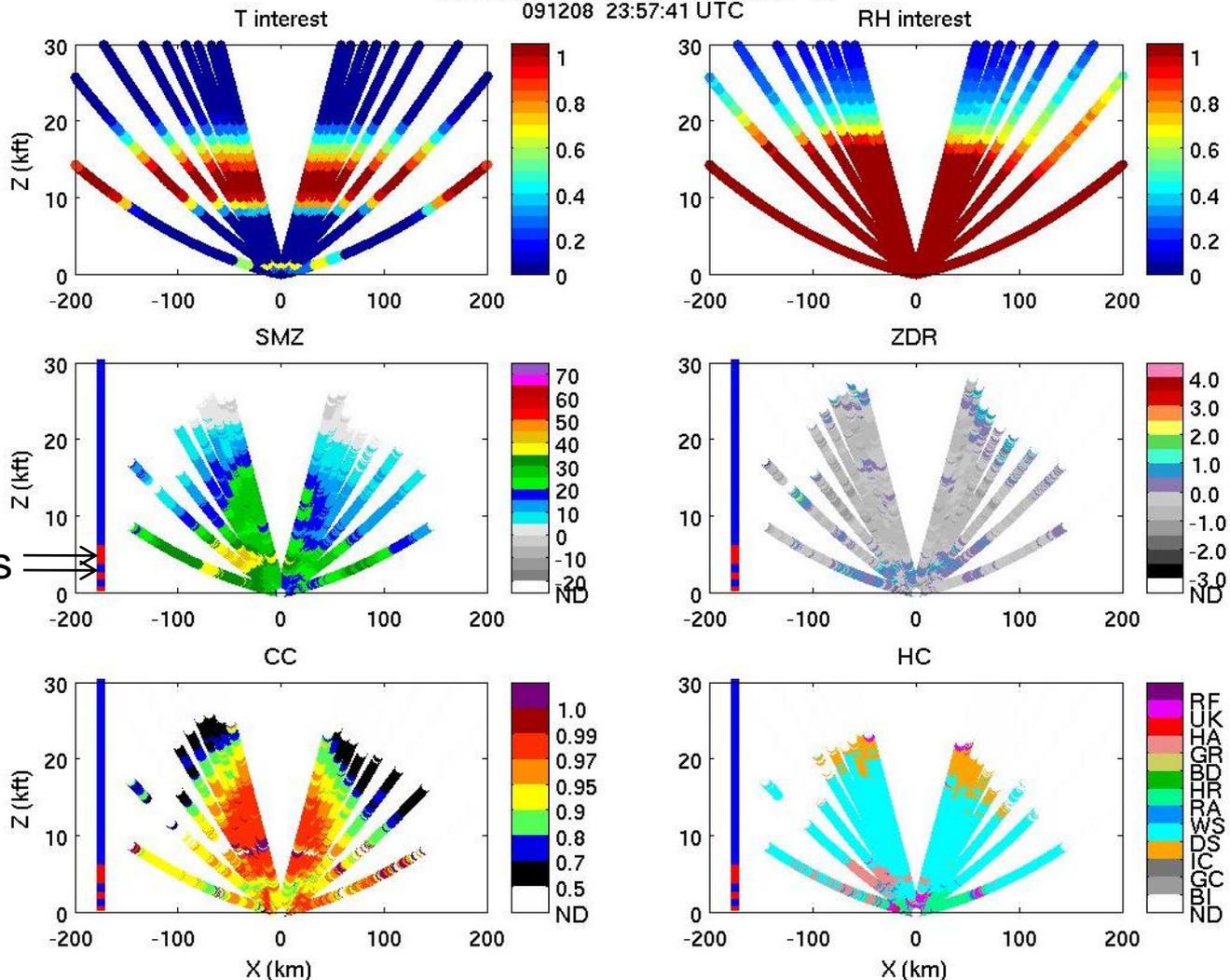
Interest Grids

LL has added operational model capability to NEXRAD ORPG Common Operations and Development Environment



# Apply NCAR CIP Interest Products to Valparaiso Data

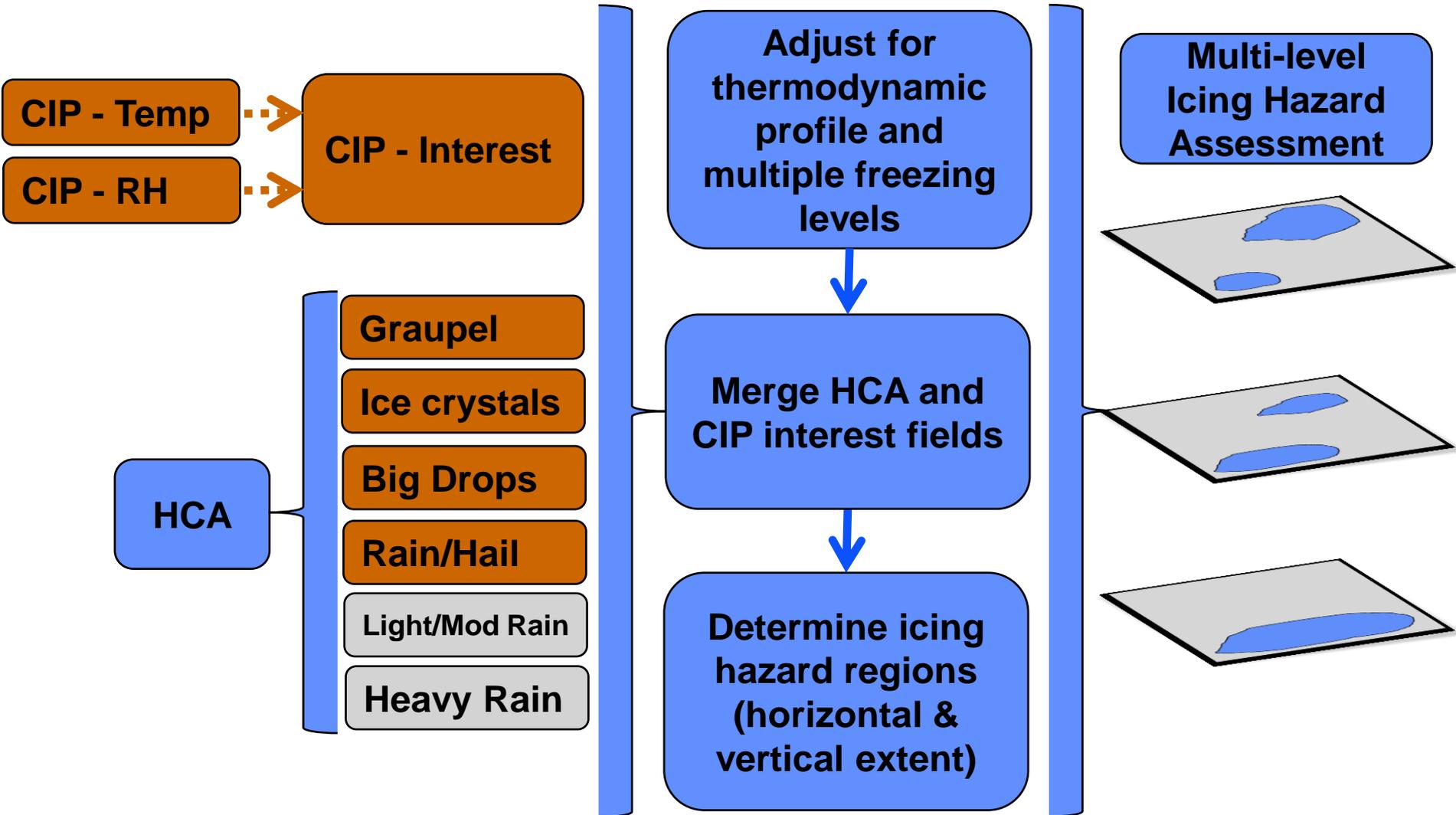
Cross Sectional Grids From Az 270 - 90  
091208 23:57:41 UTC



warm layers



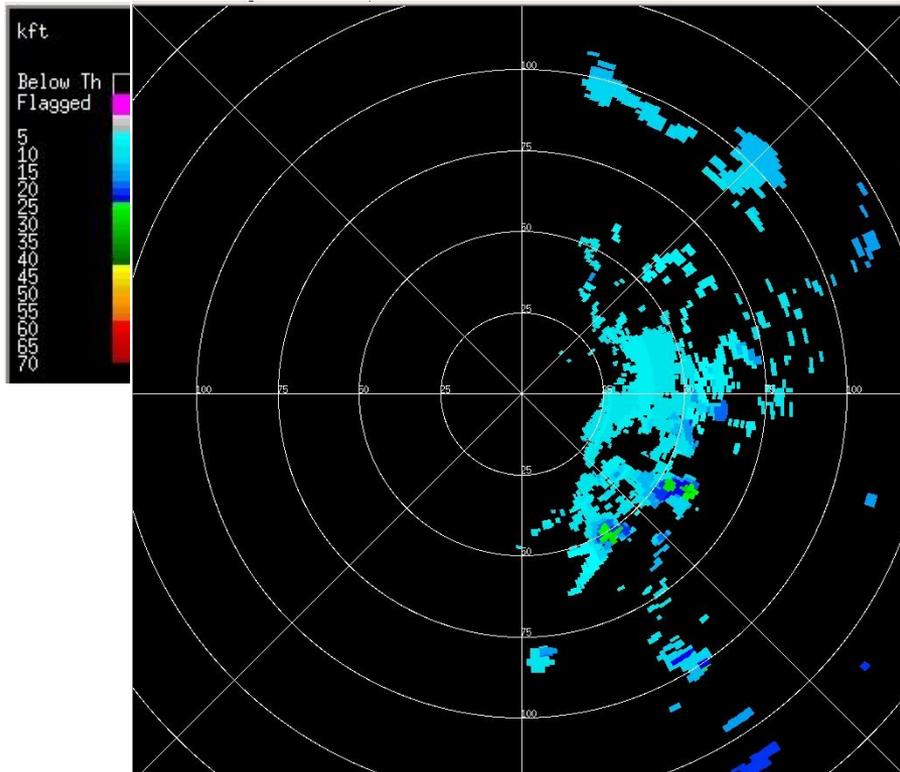
# Icing Hazard Levels (IHL) Flow Revisited



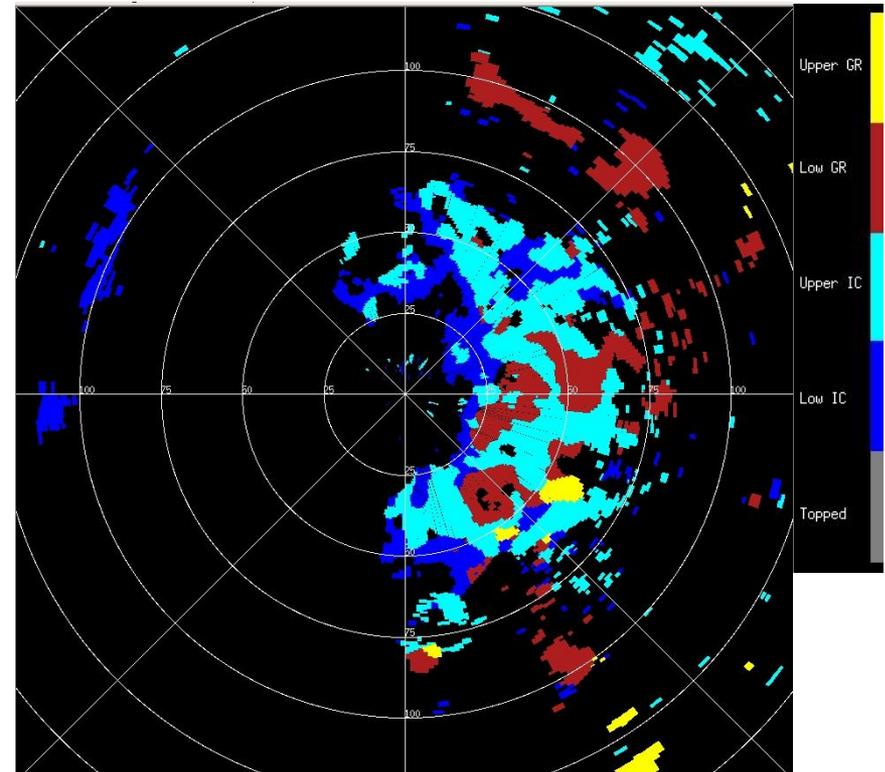


# Icing Hazard Levels Example

KOUN  
02/24/2011  
1653 UTC



*IHL – Graupel Tops*

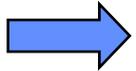


*IHL – Dual Hazard Synthesis*



# Outline

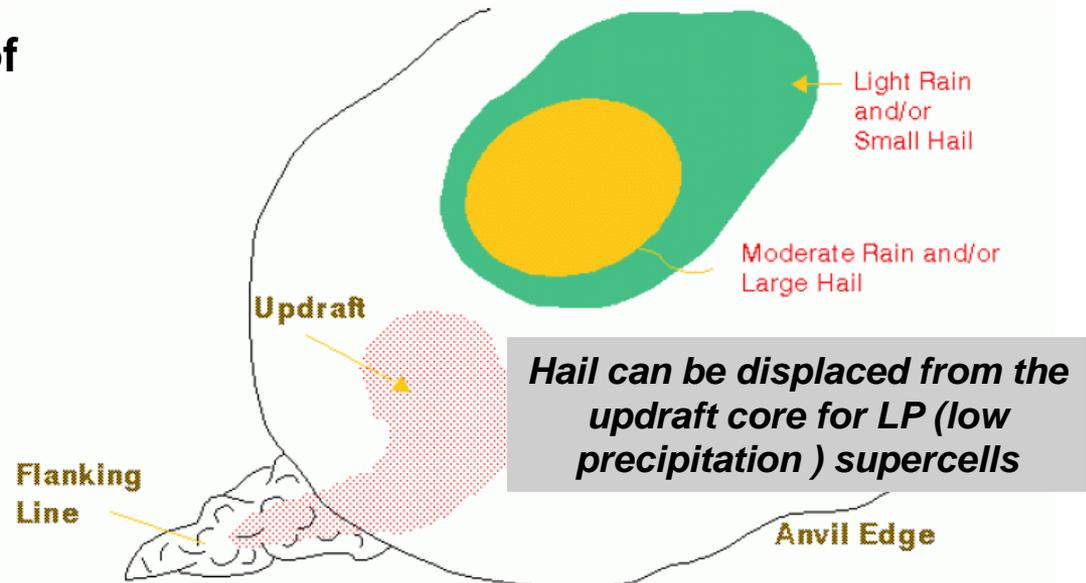
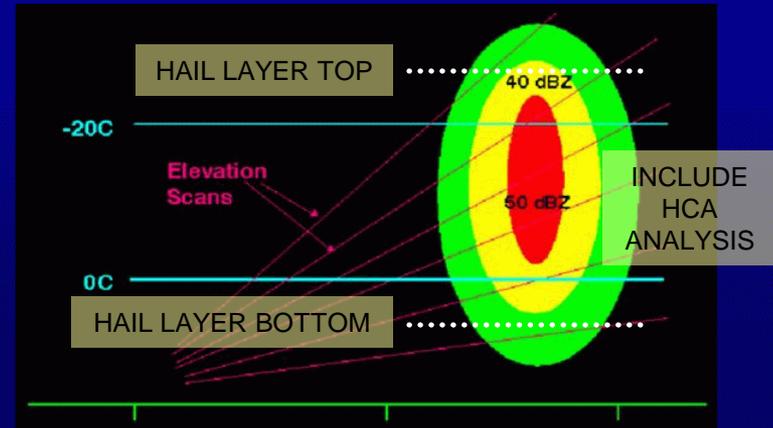
- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**



# Hail Hazard Layers (HHL) Product

- **HHL Addresses**
  - Unexpected hail aloft
  - Identify early hail potential (indicator of future cell intensity)
- **Supplement use of current NEXRAD hail algorithm by FAA weather systems**
  - Provide vertical extent of hazard
  - ITWS operational use
  - WARP receives product

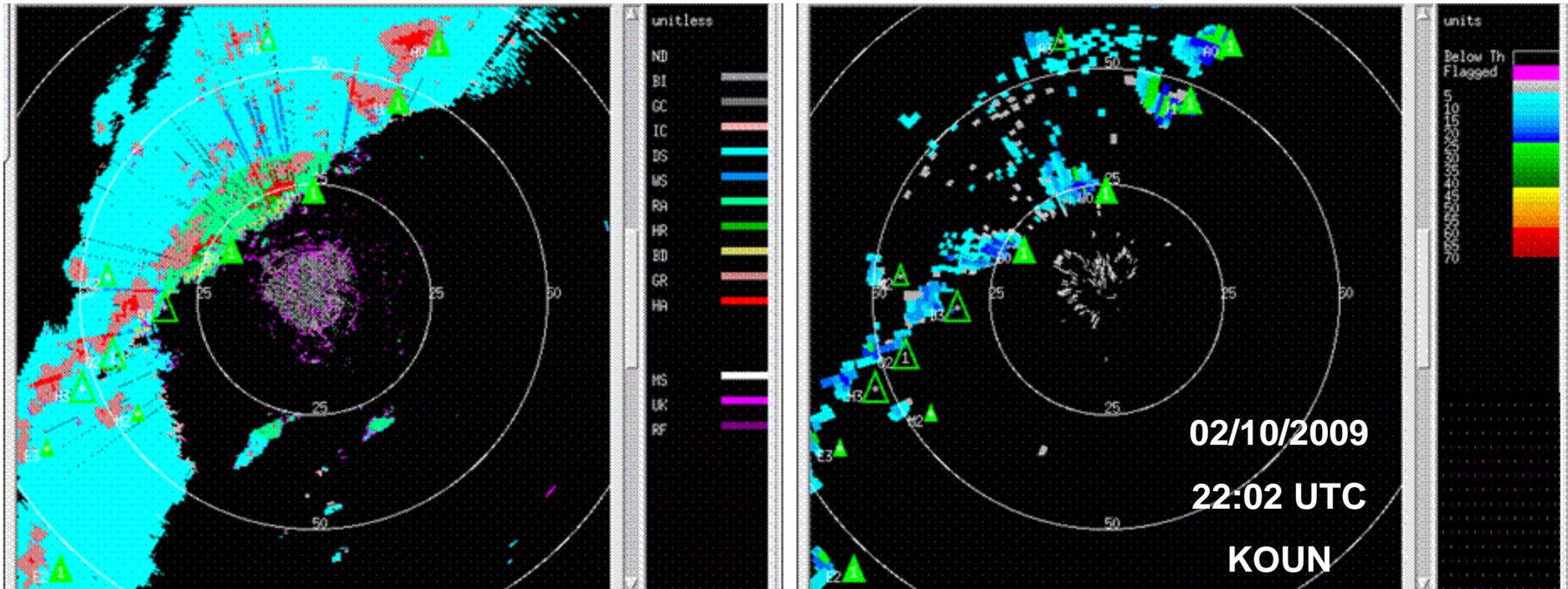
- > 50 dBZ, higher than -20 C → Full weight
- < 40 dBZ, lower than 0 C → Removed entirely



*Heinselman and Ryzhkov (2006) show hail class algorithm with CSI of 89% vs. 56% for traditional algorithm*



# Hail Hazard Layers (HHL) Product Comparison

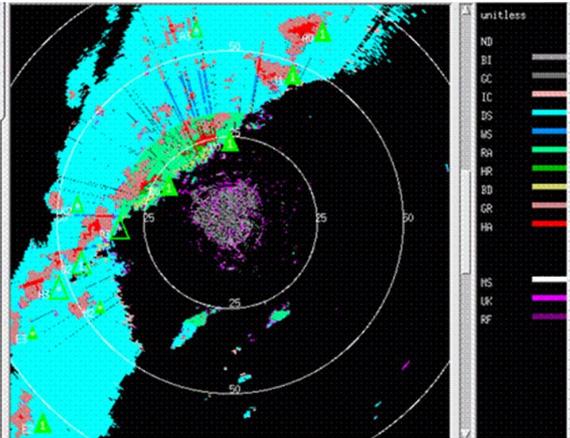


Hydrometeor Classification for mid-level scan of radar volume (red indicates rain/hail class)

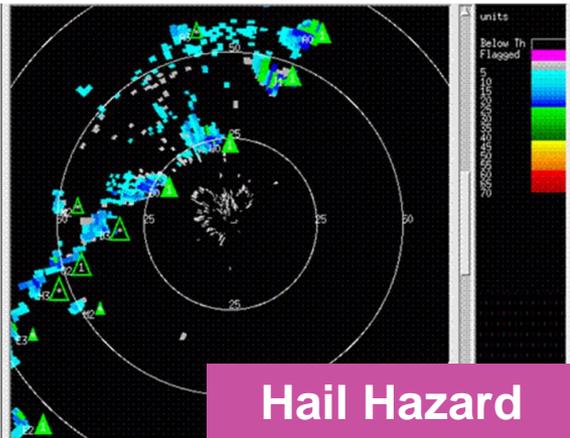
HHL volume product depicting top altitude of hail found in radar volume by azimuth and range

Triangles are storm cells with hail identified by the legacy Hail algorithm. Large triangles represent greater hail likelihood. Filled triangles represent greater severe hail likelihood.

# NSSL Large Hail Sizing Technique

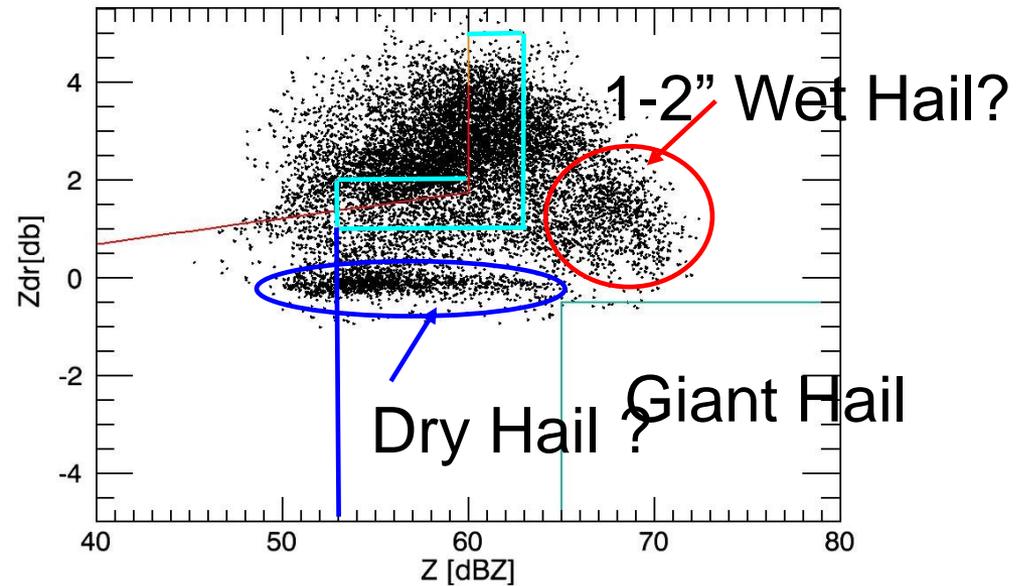


Hydrometeor Classification for mid-level scan of radar volume (red indicates rain/hail class)



HHL volume product depicting top altitude of hail found in radar volume by azimuth and range

HCA Rain/Hail detections for 20040602 4.5 degree elevation

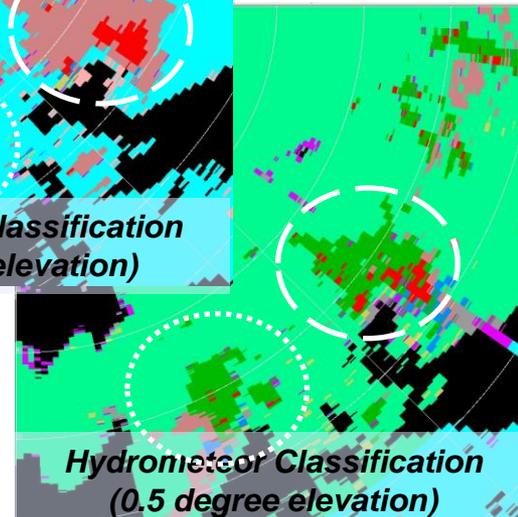
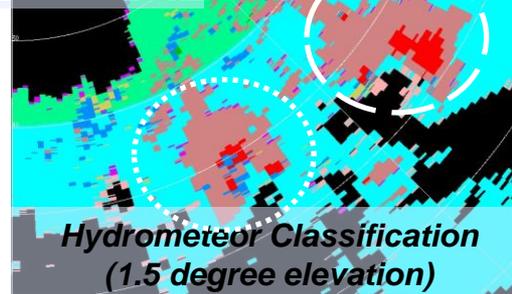
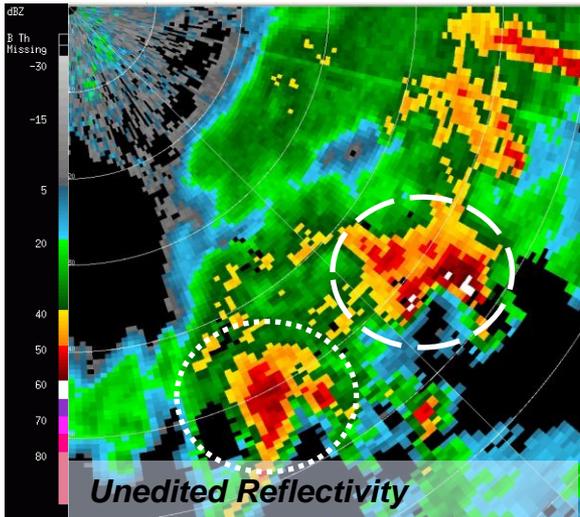
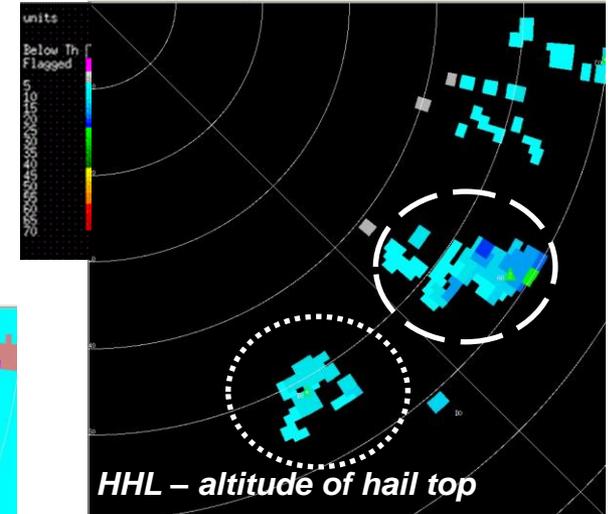
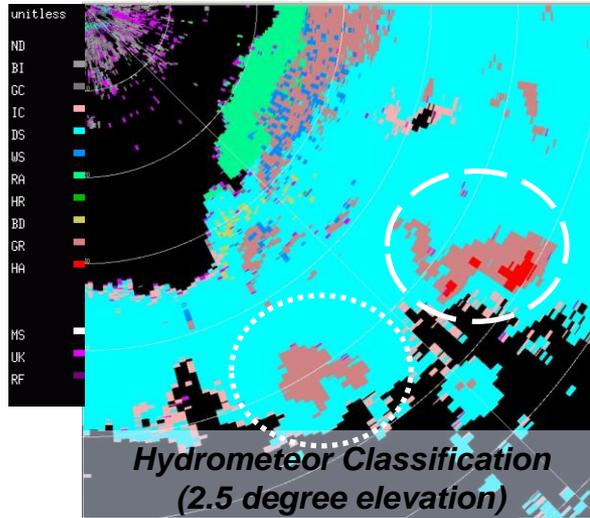


- NEXRAD HCA rain/hail class is the basis for sizing of large hail
- NSSL method for large hail (> 2.5 cm dia.) uses Z, ZDR,  $\rho_{hv}$ , and height from melting layer
- Sizing logic will be a sidebar to NEXRAD HCA
- Small and giant hail sizing targeted for future



# HHL Altitude Tops

Note graupel class (pink) surrounds and caps rain/hail class (red) above freezing level

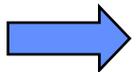


KOUN  
02/24/2011  
1653 UTC



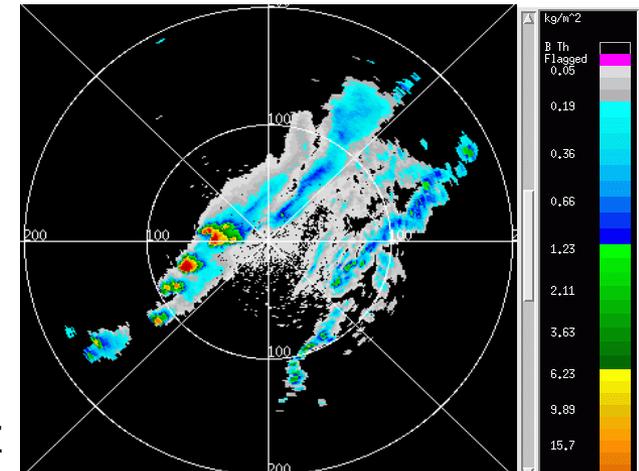
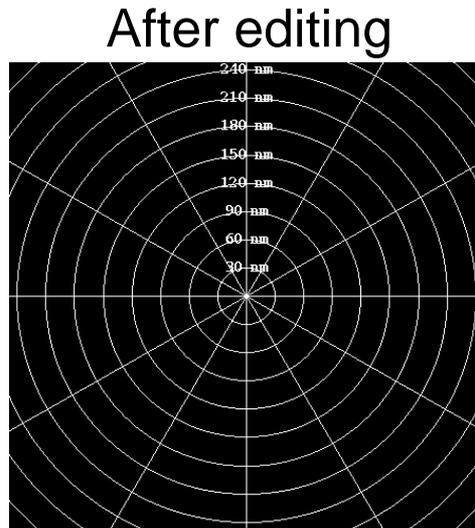
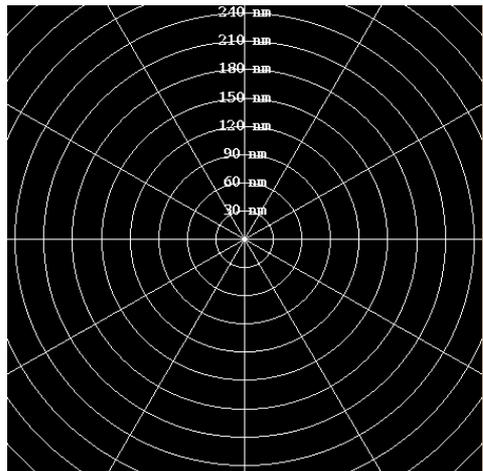
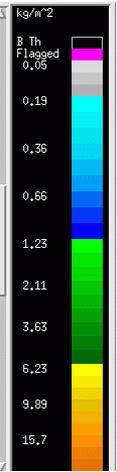
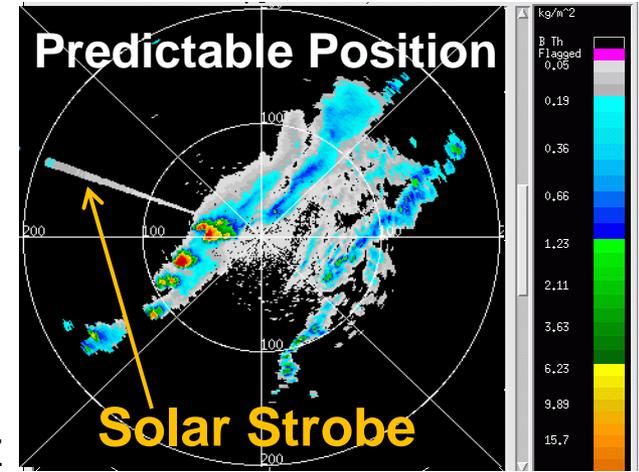
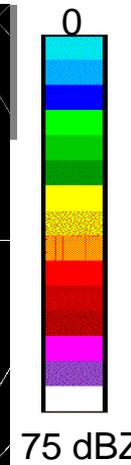
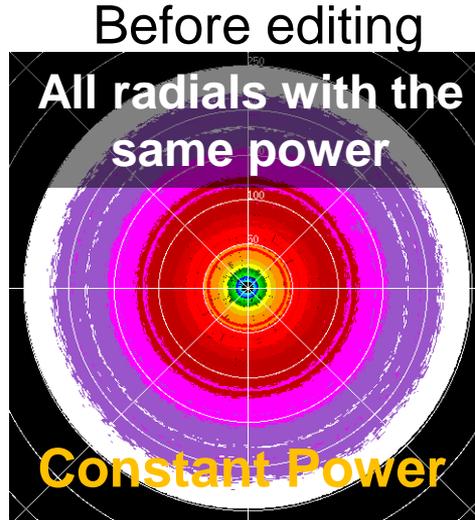
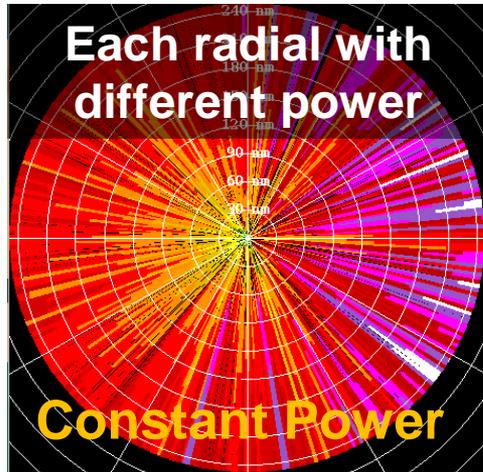
# Outline

- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**





# FAA Data Quality Assurance (DQA) Radial Constant Power Removal Modules

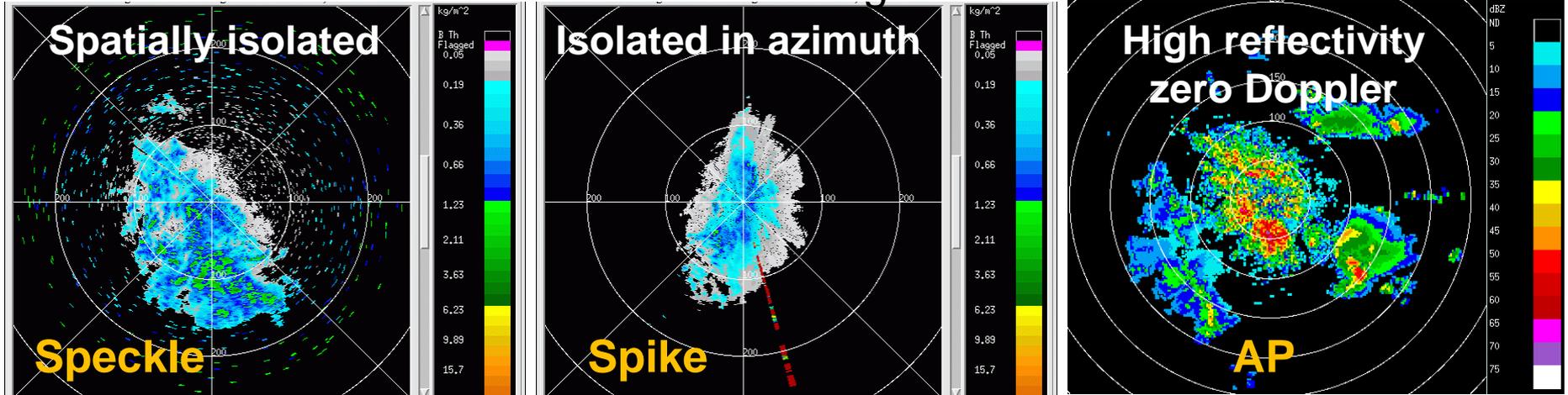


All images are precipitation products

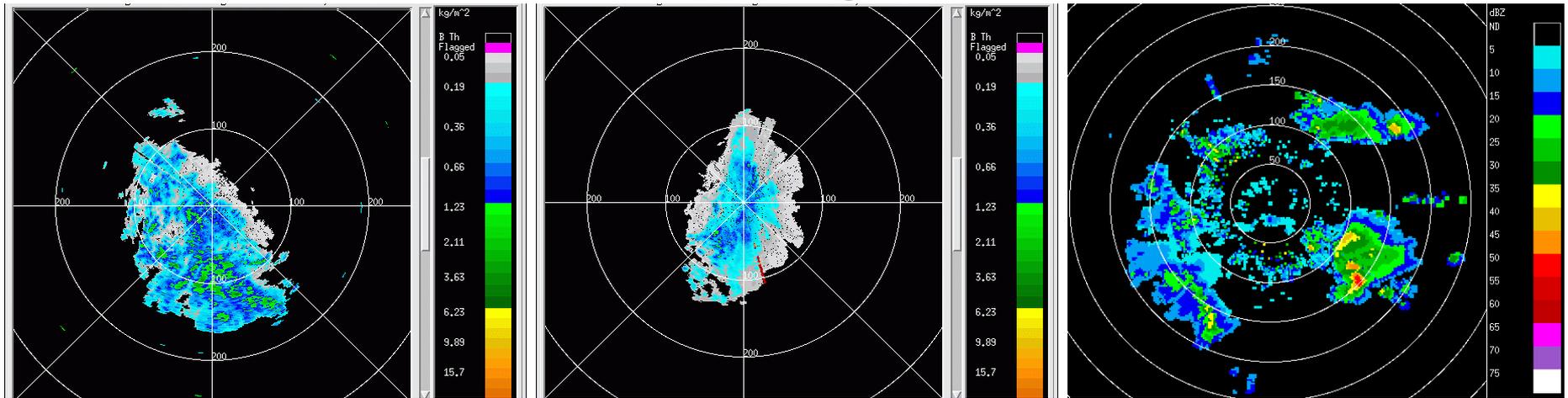


# FAA Data Quality Assurance (DQA) Elevation tilt-based Removal Modules

Before editing



After editing

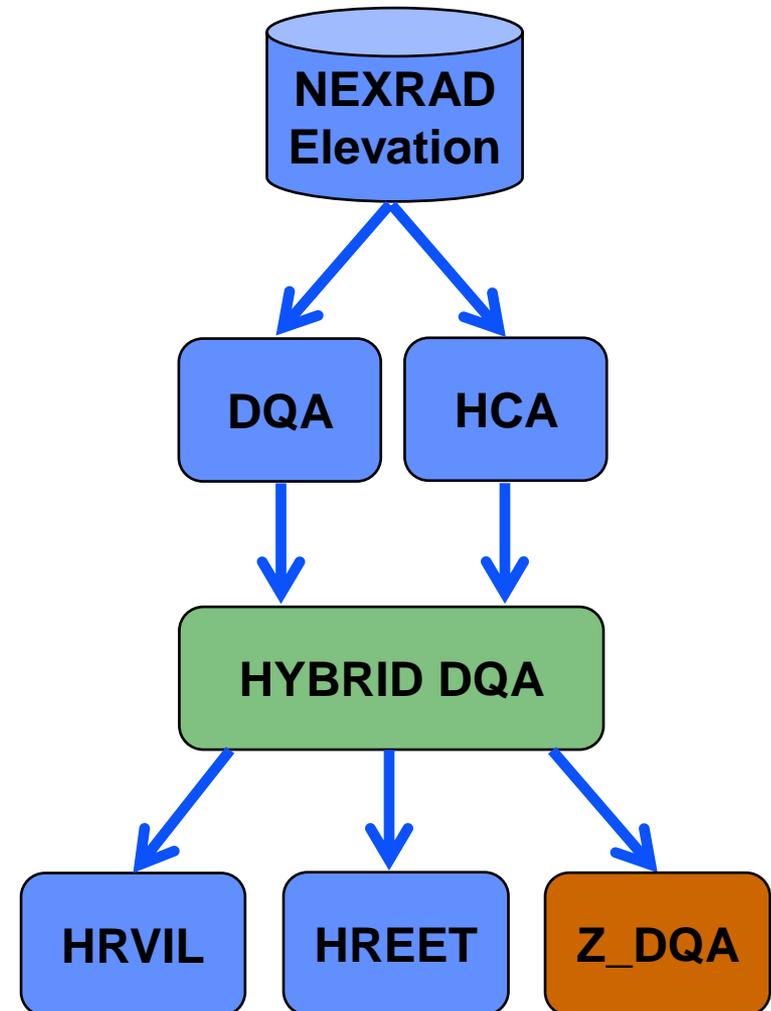


All images are precipitation products



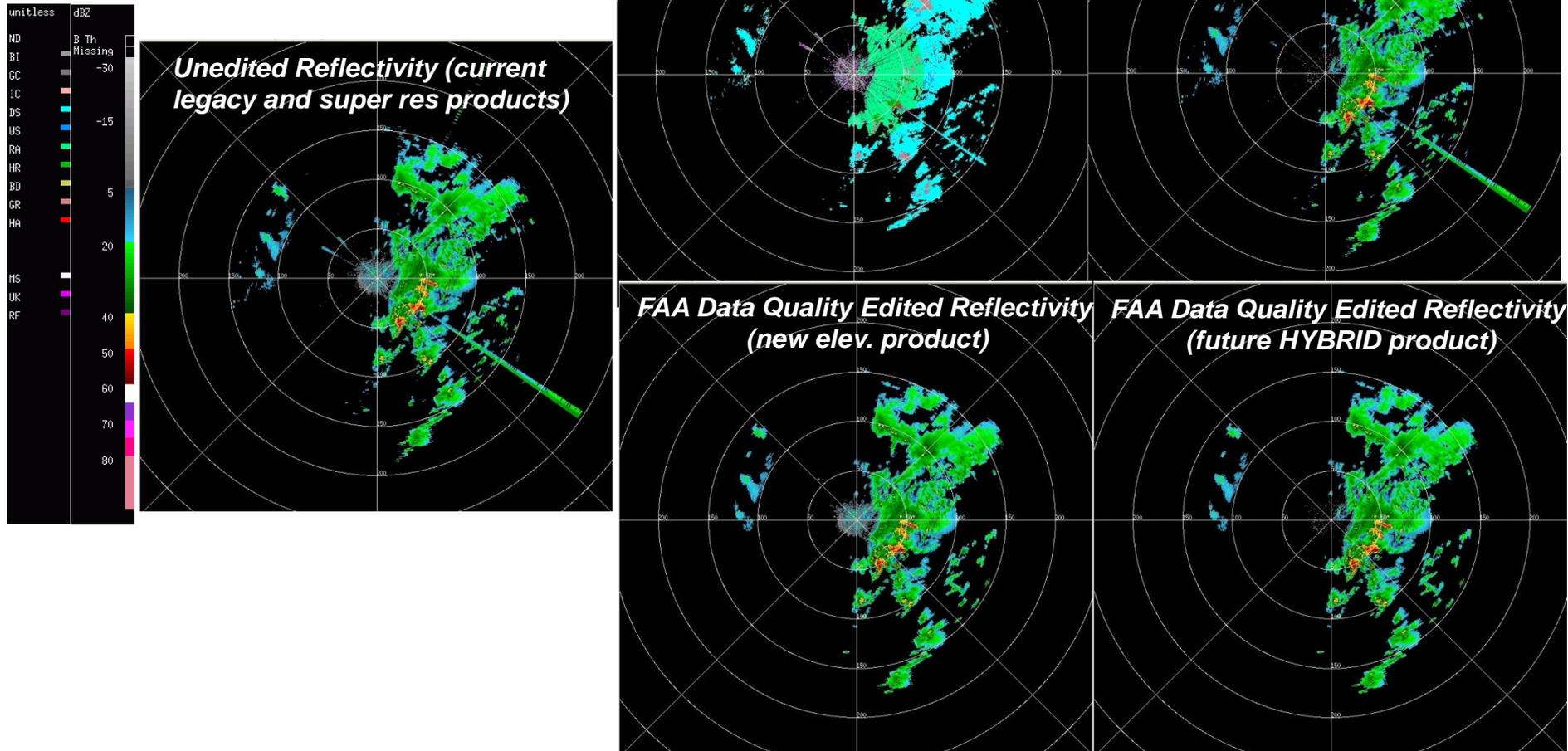
# Hybrid DQA Evolution

- Up-convert logic modules to account for improved resolution data ( $\frac{1}{4}$  km, 1 deg. and  $\frac{1}{4}$  km,  $\frac{1}{2}$  deg.)
- Integrate Dual Pol Hydrometeor Classification for removal of Ground Clutter and Biologicals
- Integrate up-convert and dual pol based modules into Hybrid DQA
- Work with NSSL, ROC, and Air Force to add class to Hydrometeor Classification Algorithm to *IDENTIFY CHAFF*



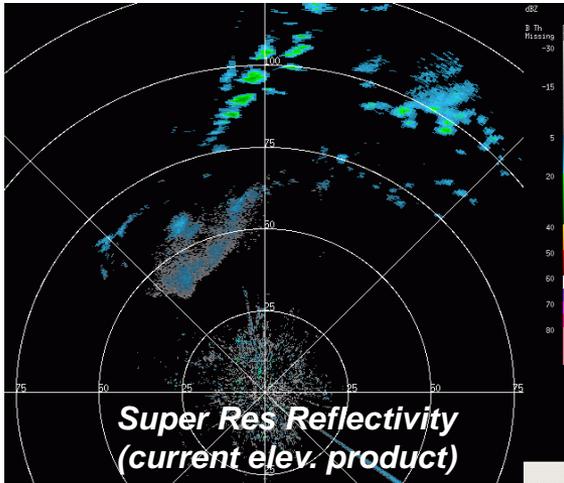


# Hybrid DQA Example – KOUN Feb. 24, 2011 1653 UTC





# Path to the Future in NEXRAD Dual Pol Era

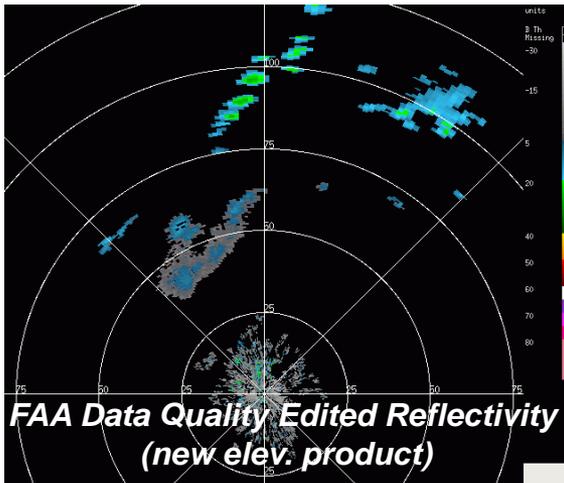
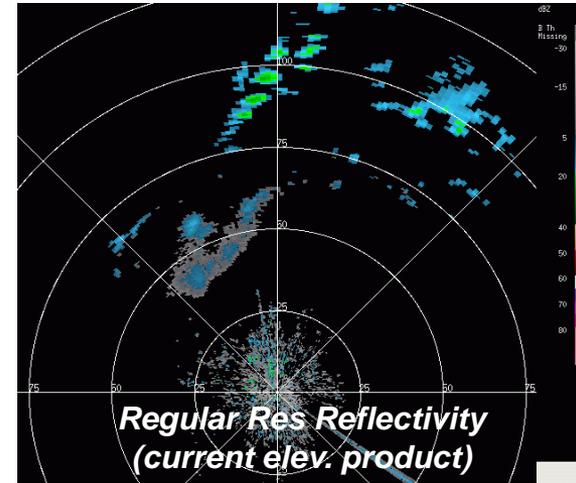


Super Res for  
Low Elevation  
Scans to 1.5°  
¼ km, ½°  
with ORDA CMDA

*No ORDA CMDA  
with dual pol; to  
be restored circa  
2014*

Regular Res for  
Elevation Scans  
above 1.5°  
¼ km, 1°  
NO ORDA CMDA

*Severe AP  
possible up to  
mid-volume scans*

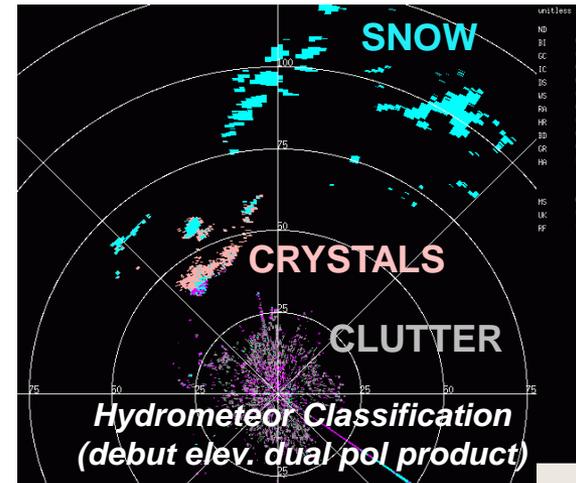


For All Scans  
Best available res.  
Possible for  
NextGen Segment 0

*Will incorporate  
non-weather  
hydrometeor  
classes to further  
improve quality*

For All Scans  
¼ km, 1°  
Future new classes  
such as chaff

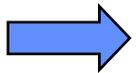
*FAA likely needs  
aviation-specific  
classifier as HCA  
evolves for precip  
estimation use*





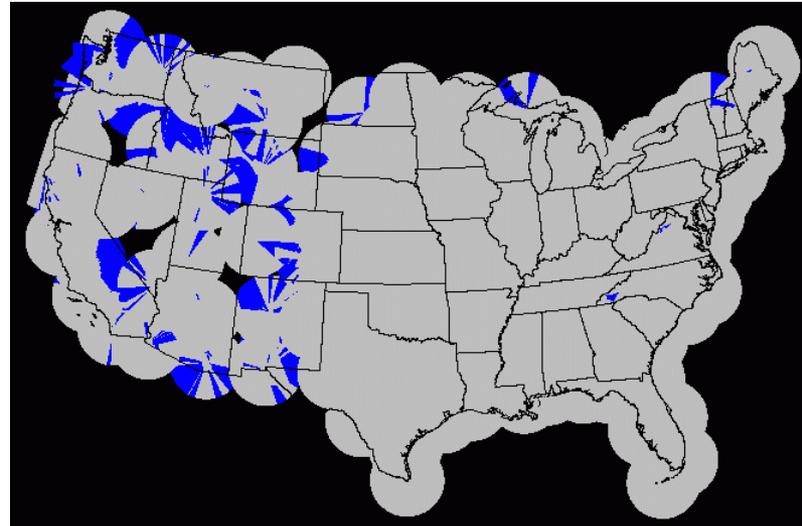
# Outline

- **Automated Microburst Detection Algorithm (AMDA)**
- **Dual Pol Algorithm Development Plan**
- **Icing Hazard**
- **Hail Hazard**
- **Data Quality Improvement**
- **High Res VIL Recovery**



# High Resolution VIL Recovery with Dual Pol Data

The western US NEXRAD network impacted by terrain blockage



NEXRAD 230 KM COVERAGE (GRAY)  
WITH PARTIAL BLOCKAGE (BLUE)

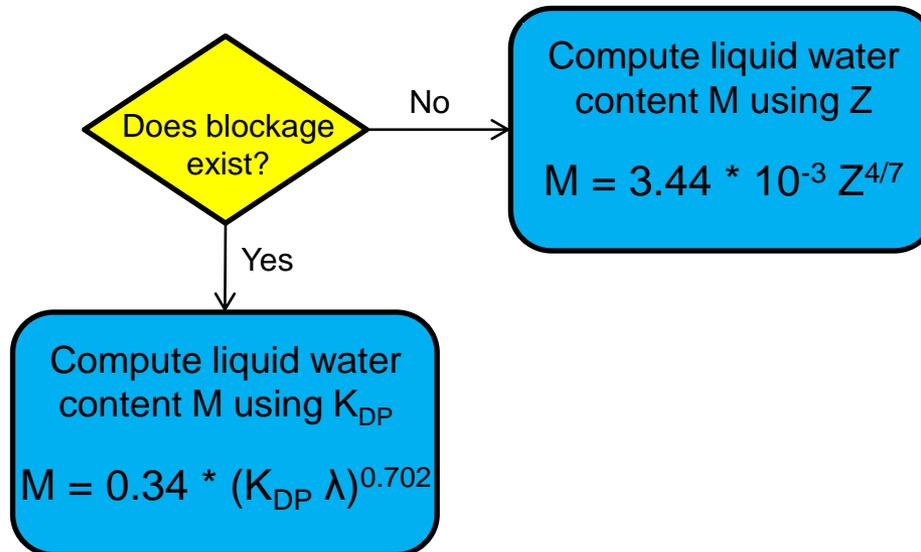
- **HRVIL Recovery benefit**
  - Recover VIL “lost” to beam blockage from natural and man-made obstructions
  - Removal of contaminants from HRVIL (hail, bright band)
  - Potential for “VIL” by type



# Partial Beam Blockage Mitigation Using Dual Polarization

- Bias in Z due to partial beam blockage (PBB) negatively affects downstream algorithms (VIL, QPE)
- Dual polarization provides capabilities to mitigate PBB through specific differential phase ( $K_{DP}$ ) derived from differential phase ( $\Phi_{DP}$ ), which is immune to blockage

## Example logic to mitigate PBB for VIL



- LL and NSSL found NEXRAD  $K_{DP}$  product not satisfactory to mitigate partial beam blockage



# NSSL $Z\text{-}\Phi_{DP}$ Method to Adjust $Z$ for Partial Beam Blockage

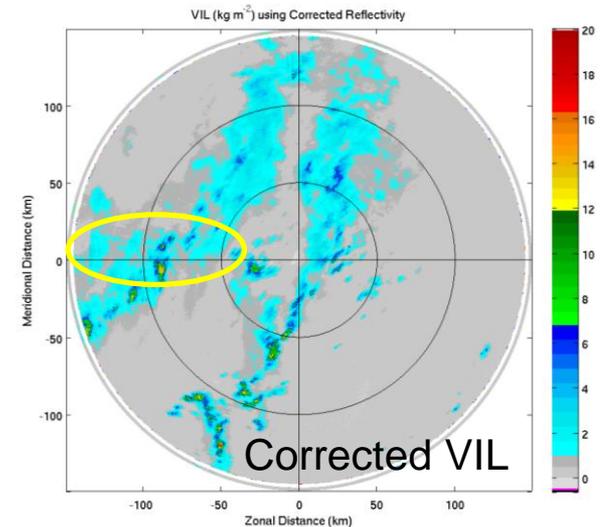
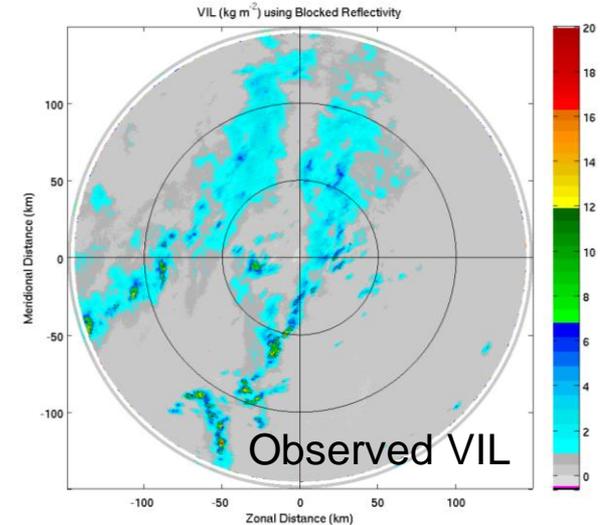
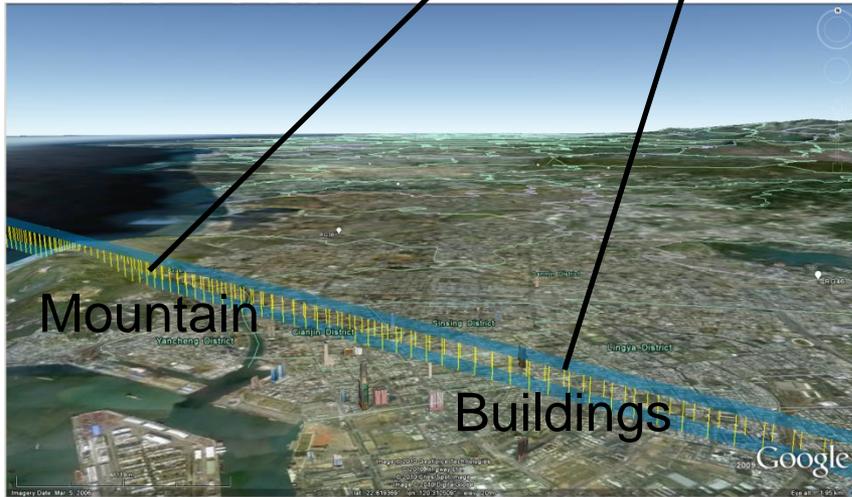
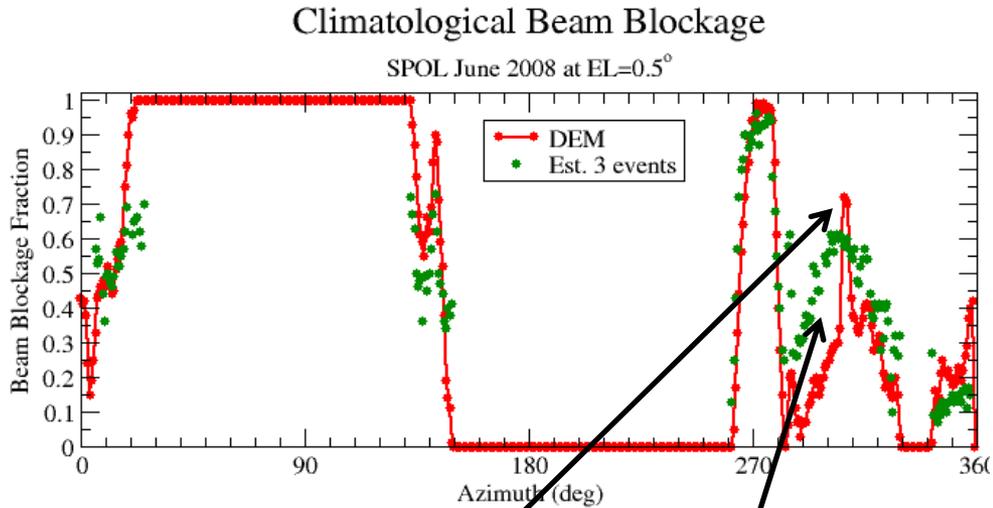
- Robust method is based on the general idea of consistency between reflectivity  $Z$ , specific differential phase  $K_{DP}$ , and differential reflectivity  $Z_{DR}$  in rain
  - NSSL method based in the power-law  $K_{DP} - Z$  relation (Ryzhkov *et al.* 1997)

$$K_{DP} = a * Z^b$$

- Variable intercept  $a$  is determined on the scan-to-scan basis using the data in unblocked azimuthal directions
  - Primary variability of factor  $a$  is due to changes in drop size distributions that will vary from storm-to-storm
  - A climatological beam blockage fraction map is created to cover all azimuths for elevation scans with blockage
- Radial integrals of  $K_{DP}$  (i.e.,  $\Phi_{DP}$ ) and  $Z$  are computed for each radial
  - Ratio of integrals compared in regions with and without blockage and correction applied to  $Z$
- Method has potential to work in areas of significant (> 50%) blockage and accounts for dynamic atmosphere and earth surface changes



# Climatological Beam Blockage





# Summary

- **LL is operating and evaluating new dual pol algorithm products 24/7 live via KOUN data**
  - Icing Hazard and Hail Hazard
  - Also hybrid dual pol data quality products
- **Important contributions from partner scientists being evaluated and implemented to address multiple challenges**
  - Additional contributions in development
- **Collaborative discussion continues to relate the microphysics of mixed-phase conditions to robust dual pol signatures usable in real-time algorithms**
- **Spring/summer season will provide further opportunity to quantify performance of NEXRAD AMDA**
- **Version 1 dual pol algorithms could be transferred to the ROC by early 2012**